

# Tree-Series-to-Tree-Series Transformations

Andreas Maletti

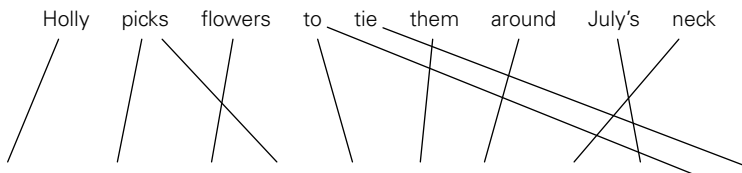
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San Francisco — July 21, 2008



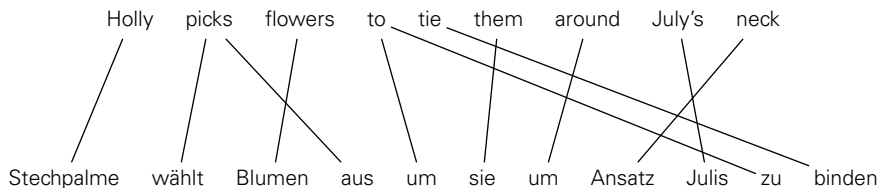
# Classic Approach (simplified)



## Steps

- 1 Select scheme
- 2 Translate words individually
- 3 Check sequence (bigrams, trigrams, etc.)

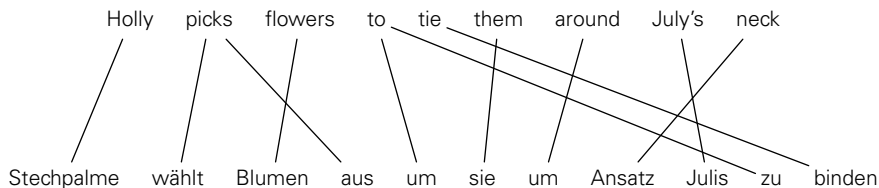
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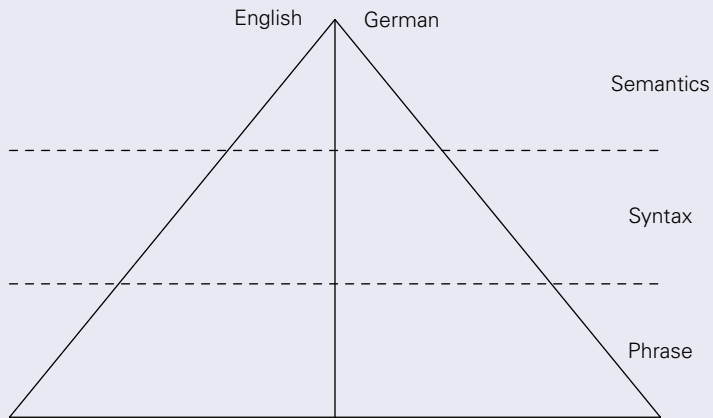


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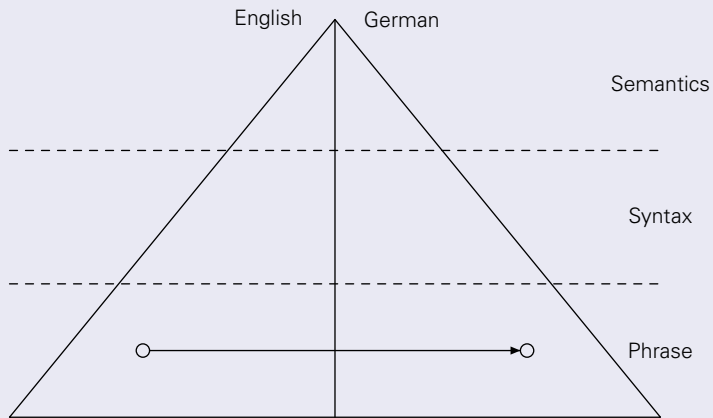
# Syntax-based Approach

## Overview



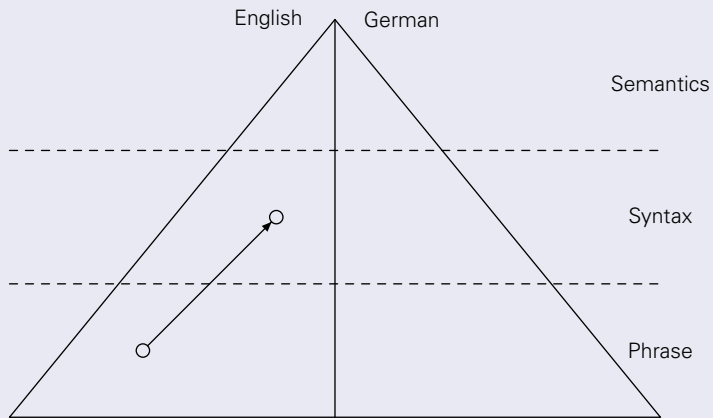
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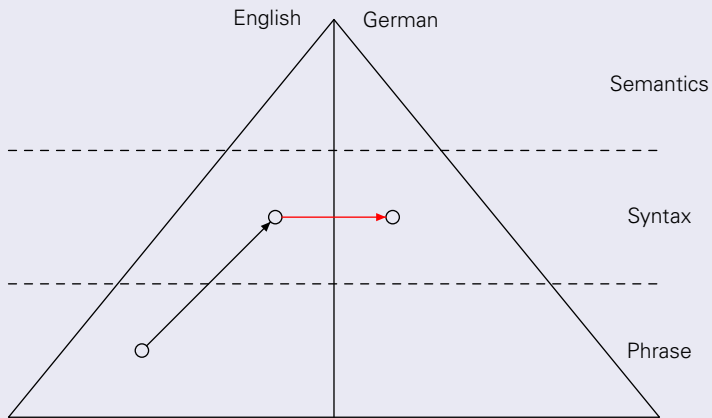
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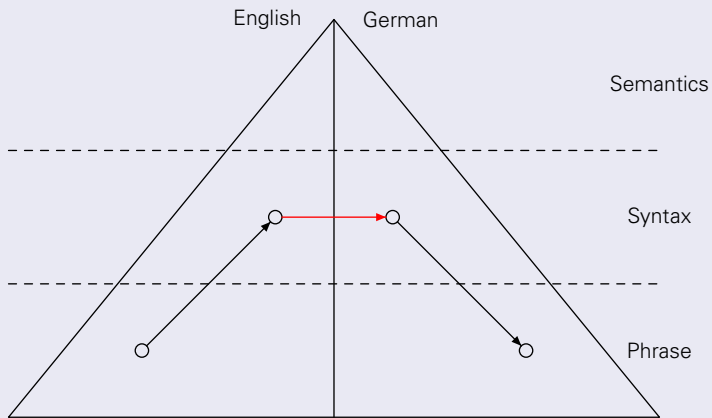
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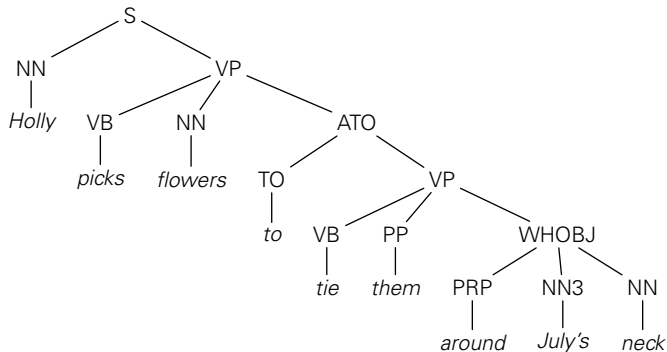


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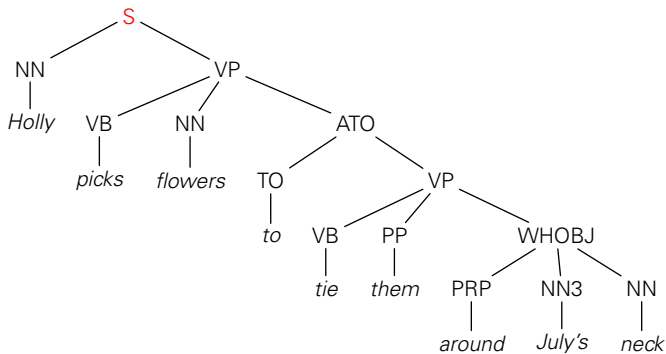
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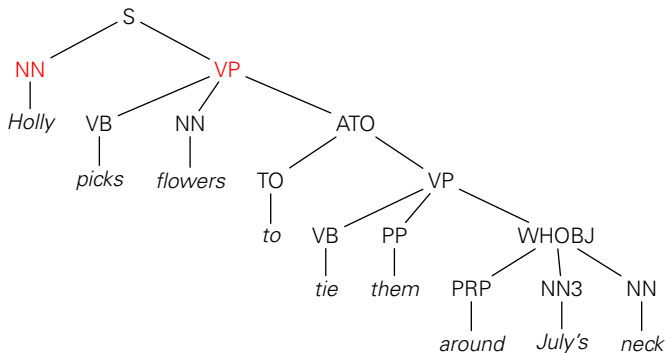
# Syntactic Analysis



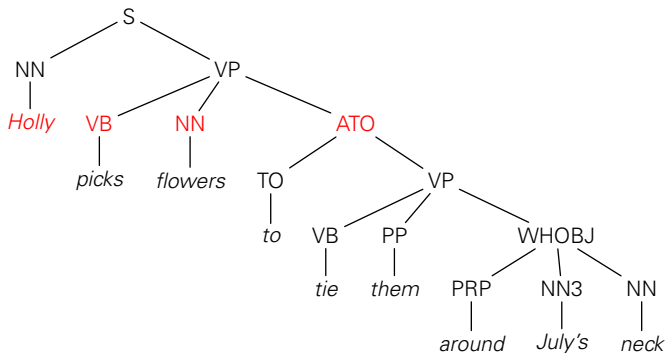
# Translation



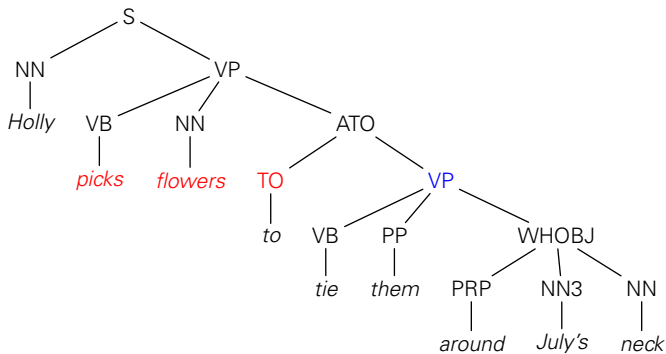
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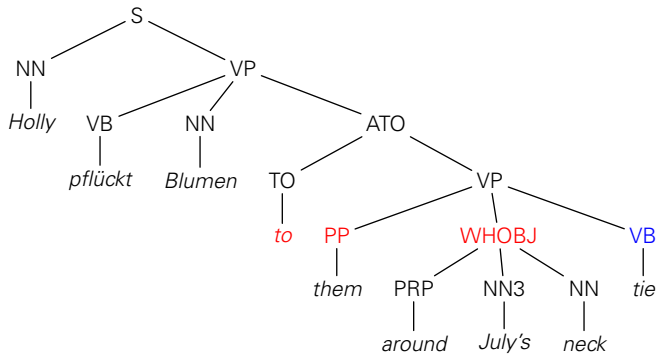
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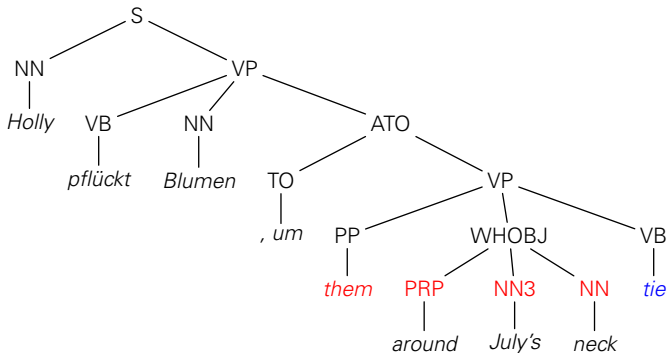
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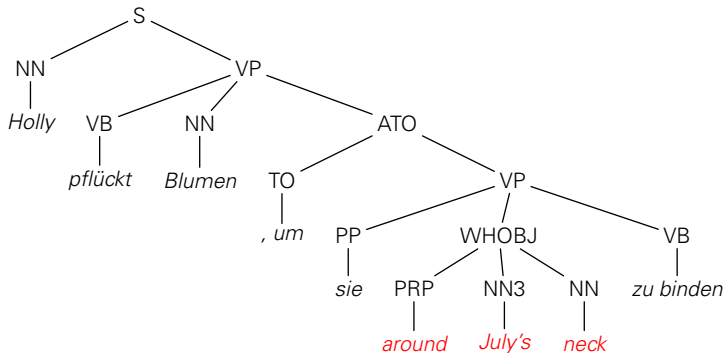


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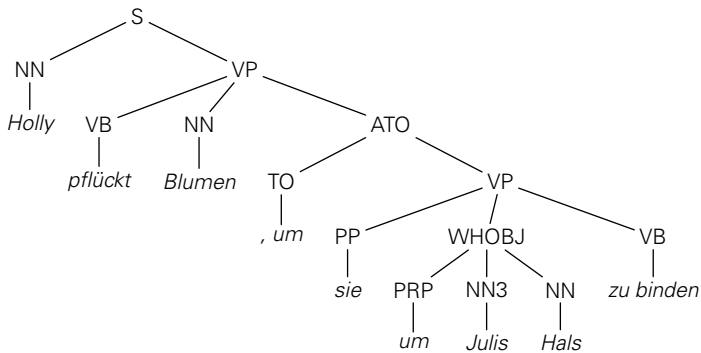




# Translation



# Translation



# Adding Weights

- Hard decision (yes/no) replaced by soft decision
- Each translation then has a score
- Yields ranking on the alternatives

## Problems

- Harder to train (but nowadays there is enough data)
- Destroys nice properties of the tree transducer framework

## Addressed here

Well-definedness problem

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# Table of Contents



## Some Basics

- semiring  $\mathcal{A} = (A, +, \cdot, 0, 1)$  is a “ring without subtraction”
- $A\langle\langle T_\Delta(X) \rangle\rangle$ : set of all maps  $T_\Delta(X) \rightarrow A$
- $A\langle T_\Delta(X) \rangle$ : 0 almost everywhere maps of  $A\langle\langle T_\Delta(X) \rangle\rangle$



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## Definition

**Tree series transducer** is tuple  $(Q, \Sigma, \Delta, \mathcal{A}, F, \mu)$

- $Q$  finite set of states
- $\Sigma$  and  $\Delta$  ranked alphabets of input und output symbols
- $\mathcal{A} = (A, +, \cdot, 0, 1)$  commutative semiring
- $F \subseteq Q$  final states
- $\mu = (\mu_k)_{k \in \mathbb{N}}$  with  $\mu_k: \Sigma_k \rightarrow A \langle\langle T_\Delta(X) \rangle\rangle^{Q \times Q(X_k)^*}$

such that

- 1  $\mu_k(\sigma)_{q,w} \in A \langle T_\Delta(X_{|w|}) \rangle$
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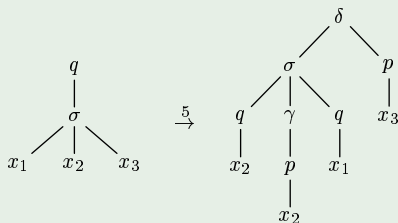
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# Syntax: Top-down vs. Bottom-up

## Definition

- **top-down** if  $\mu_k(\sigma)_{q,w}$  is linear and nondeleting in  $X_{|w|}$
- **bottom-up** if  $\mu_k(\sigma)$  is nonzero only at  $(q, q_1(x_1) \cdots q_k(x_k))$

## Example (Top-down)



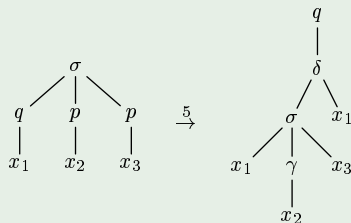
$$(\mu_3(\sigma)_{q,q(x_2)p(x_2)q(x_1)p(x_3)}, \delta(\sigma(x_1, \gamma(x_2), x_3), x_4)) = 5$$

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## Example (Bottom-up)



$$(\mu_3(\sigma)_{q,q(x_1)p(x_2)p(x_3)}, \delta(\sigma(x_1, \gamma(x_2), x_3), x_1)) = 5$$

# Substitution of Tree Series

## Definition

$\psi, \psi_1, \dots, \psi_n \in A\langle\langle T_\Delta(X) \rangle\rangle$

$$\psi \leftarrow (\psi_1, \dots, \psi_n) = \sum_{t, t_1, \dots, t_n \in T_\Delta(X)} \left( (\psi, t) \cdot \prod_{i=1}^n (\psi_i, t_i) \right) t[t_1, \dots, t_n]$$

## Definition

Define tree evaluation as:

$$h_{\mu}(\sigma(t_1, \dots, t_k))_q = \sum_{w \in Q(X_k)^*} \mu_k(\sigma)_{q,w} \leftarrow (h_{\mu}(t_1)_{q_1}, \dots, h_{\mu}(t_k)_{q_k})$$

where  $w = q_1(x_{i_1}) \cdots q_n(x_{i_n})$

## Definition

Tree-to-tree-series transformation

$$\tau_M(t) = \sum_{q \in F} h_{\mu}(t)_q$$



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## Definition

Tree-series-to-tree-series transformation

$$\tau_M(\psi) = \sum_{t \in T_{\Sigma}} (\psi, t) \cdot \tau_M(t)$$

# Well-definedness

## Problem

When is  $\sum_{t \in T_\Sigma} (\psi, t) \cdot \tau_M(t)$  well-defined?

## Answer

Always in **complete** semirings.

## Rebuke

Most complete semirings are unpractical.

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# Our Approach

## Answer

It is well-defined if every output tree can be produced only from finitely many input trees

## Without weights

It is well-defined if  $\tau_M^{-1}(u)$  is finite

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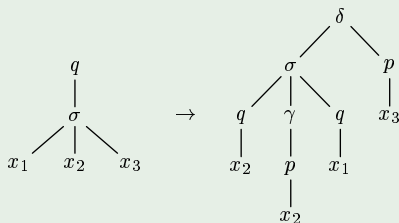
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# Top-down Case

## Example (Nondeleting)

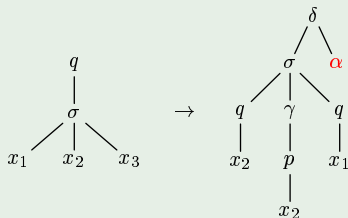


## Theorem

*If a trim top-down tree transducer is deleting, then  $\tau_M^{-1}(u)$  is infinite for some output tree  $u$ .*

# Top-down Case

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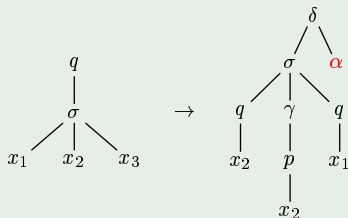


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## Top-down Case (cont'd)

### Example (Replication)

$$\begin{array}{c} q \\ | \\ \gamma \\ | \\ x_1 \end{array} \rightarrow \begin{array}{c} q \\ | \\ x_1 \end{array}$$

### Definition

Any state that can be reached from itself without producing output is called **replicating**.

# Top-down Case (cont'd)

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## Top-down Case (cont'd)

### Theorem

*For a trim top-down tree transducer  $M$ , TFAE:*

- (i)  $\tau_M^{-1}(u)$  is finite for all  $u \in T_\Delta$*
- (ii)  $M$  is nondeleting and has no replicating states*

### Proof.

Using a size argument for the output trees. □

### Remarks

- (ii) characterizes well-definedness over positive semirings
- (ii) yields well-definedness of the ts-ts transformation in arbitrary semirings



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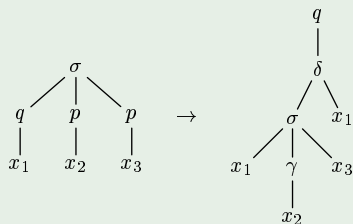
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# Bottom-up Case

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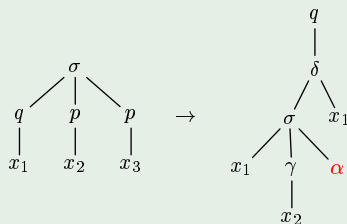


## Theorem

If a trim bottom-up tree transducer *deletes at a state that can accept infinitely many trees*, then  $\tau_M^{-1}(u)$  is infinite for some output tree  $u$ .

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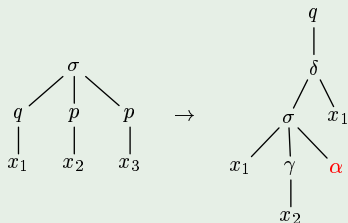


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### Example (Replication)

$$\begin{array}{c} \gamma \\ | \\ q \\ | \\ x_1 \end{array} \rightarrow \begin{array}{c} q \\ | \\ x_1 \end{array}$$

### Definition

- **Replicating** state defined as imagined
- **Infinite** state accepts infinitely many input trees





## Bottom-up Case (cont'd)

### Theorem

*For a trim bottom-up tree transducer  $M$ , TFAE:*

- (i)  $\tau_M^{-1}(u)$  is finite for all  $u \in T_\Delta$*
- (ii)  $M$  does not delete at an infinite state and has no replicating states*

### Proof.

Using again a size argument for the output trees. □

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# References

- [Engelfriet, Fülöp, Vogler](#): Bottom-up and Top-down Tree Series Transformations. *J. Automata, Languages and Combinatorics* 7, 2002
- [Gécseg, Steinby](#): *Tree Automata*. Akadémiai Kiadó, Budapest 1984
- [Engelfriet](#): Bottom-up and top-down tree transformations — a comparison. *Math. Systems Theory* 9(3), 1975

Thank you for your attention!