

# Better Hyper-Minimization

Not as Fast, but Fewer Errors

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# Minimization

## Observation

- minimal DFA too large to handle

## Remedy

To make minimal DFA even smaller:

- sacrifice determinism
- sacrifice correctness

Hyper-minimization (BADR, GEFFERT, SHIPMAN 2009)

Obtain a DFA that

- 1 makes only finitely many mistakes
- 2 is as small as possible

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# Hyper-minimization

## Algorithms

- $O(n^3)$  [BADR, GEFFERT, SHIPMAN 2009]
- $O(n^2)$  [BADR 2009]
- $O(n \log n)$  [GAWRYCHOWSKI, JEŽ 2009], [HOLZER, ~ 2009]

## Results (data by [QUERNHEIM 2010])

line	errors			line	errors		
	max	min	%		max	min	%
3	39.5	26.0	34.2	7	14.9	1.7	88.6
4	182.6	39.0	78.6	8	11.4	2.3	79.8
5	66.5	6.4	90.4	15	356.4	18.2	94.9
6	13.5	0.5	96.3	16	516.2	67.4	86.9

# Hyper-minimization

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# Hyper-optimization

## Hyper-optimization

Obtain a DFA that

- 1 makes only finitely many mistakes
- 2 is as small as possible
- 3 additionally makes minimal number of mistakes

## Question

- Can it be done in polynomial time?  
[BADR, GEFFERT, SHIPMAN 2009]
- Can it be done in  $O(n \log n)$ ?

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# Contents

- 1 Motivation
- 2 Hyper-Minimization
- 3 Hyper-Optimization

# Basic definitions

## Definition (almost-equivalent)

- Two languages are **almost-equivalent** if their difference is finite.
- Two DFA are **almost-equivalent** if their languages are.

## Example

- all finite languages are almost-equivalent
- $\{a^n \mid n \in \mathbb{N}\}$  and  $\{aaa^n \mid n \in \mathbb{N}\}$  are almost-equivalent
- $\{a^n \mid n \in \mathbb{N}\}$  and  $\{a^{2n} \mid n \in \mathbb{N}\}$  are **not** almost-equivalent

## Definition (hyper-minimal)

A DFA is **hyper-minimal** if there is no smaller almost-equivalent DFA.

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### Definition (hyper-minimal)

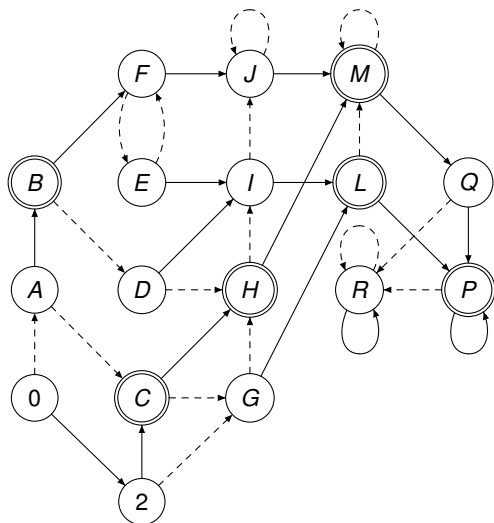
A DFA is **hyper-minimal** if there is no smaller almost-equivalent DFA.

# Preamble and kernel states

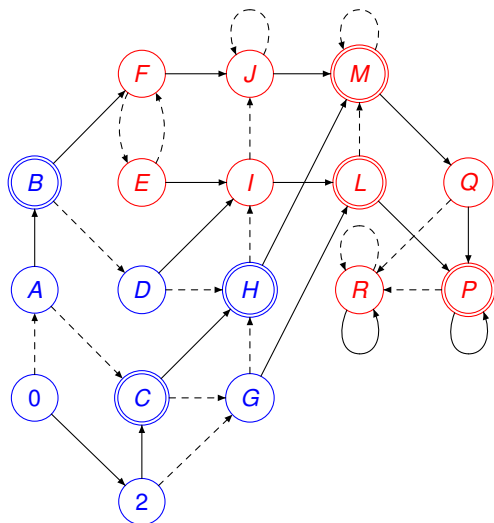
## Definition

- **preamble state**: finitely many words lead to it
- **kernel state**: infinitely many words lead to it

# Preamble and kernel states (cont'd)



# Preamble and kernel states (cont'd)



# Almost-equivalent states

## Definition

States  $p$  and  $q$  **almost-equivalent** if there is  $k \in \mathbb{N}$  such that  $\delta(p, w) = \delta(q, w)$  for all  $|w| > k$

## Consequence

Almost-equivalent states have almost-equivalent right-languages.

# Almost-equivalent states

## Definition

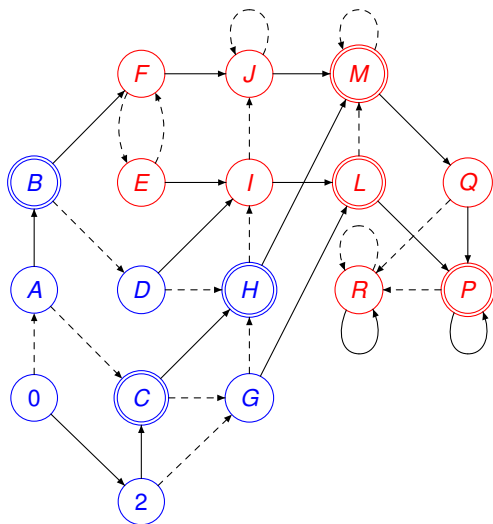
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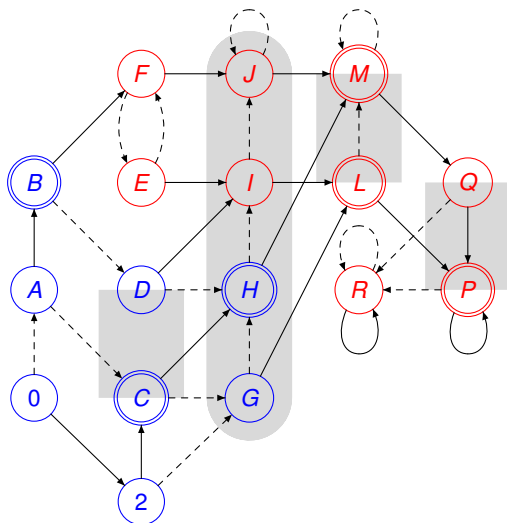
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## Almost-equivalent states (cont'd)



# Almost-equivalent states (cont'd)

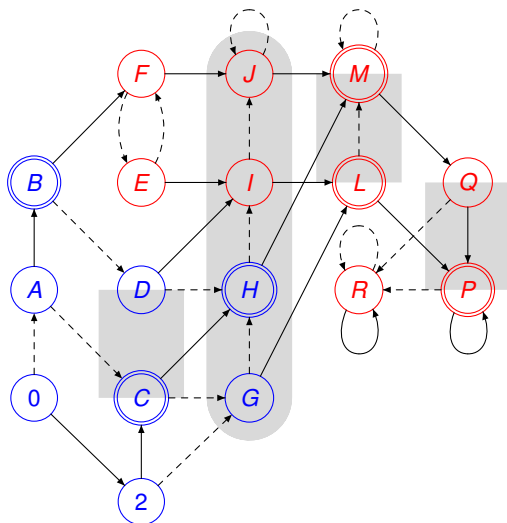


# Merging states

Algorithm (BADR, GEFFERT, SHIPMAN 2009)

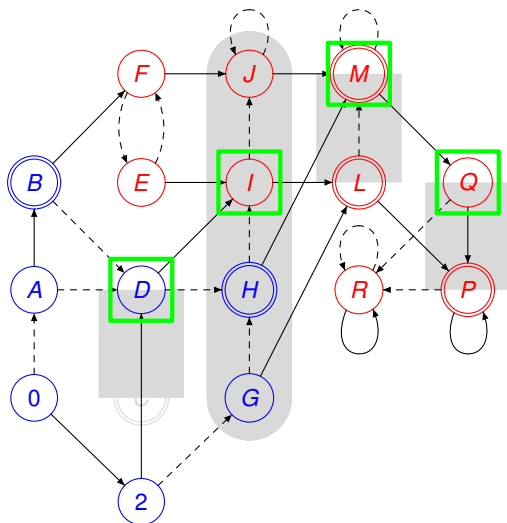
- don't-care nondeterministic
- select representative of each block; **kernel** state if possible
- merge all **preamble** states into their representative

## Merging states (cont'd)

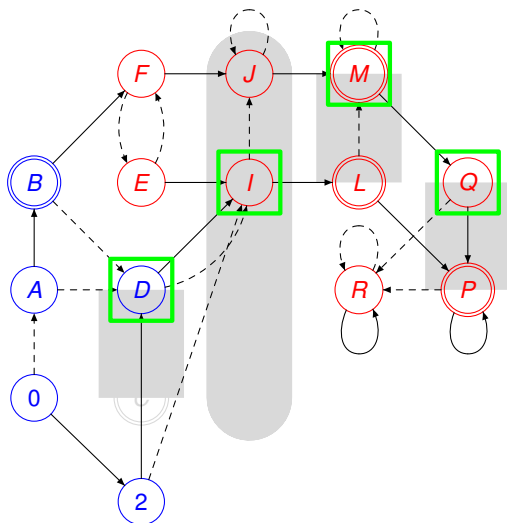




## Merging states (cont'd)



## Merging states (cont'd)



## Merging states (cont'd)

Theorem (BADR, GEFERT, SHIPMAN 2009)

*DFA is hyper-minimal if and only if*

- *no unreachable states*
- *no equivalent states*
- *no **preamble** state is almost-equivalent to another state*



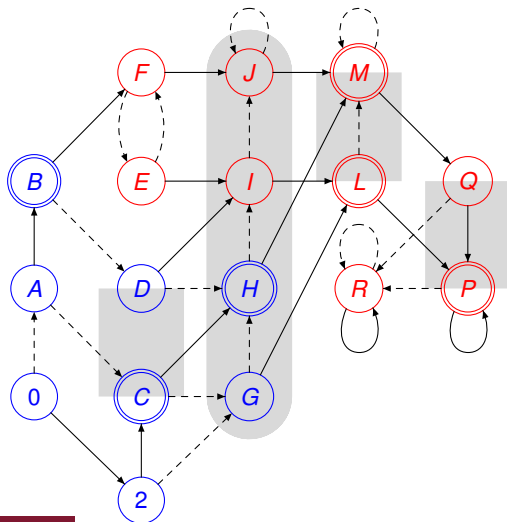
## Merging states (cont'd)

Theorem (BADR, GEFFERT, SHIPMAN 2009)

*DFA is hyper-minimal if and only if*

- *minimal*
- *no preamble state is almost-equivalent to another state*

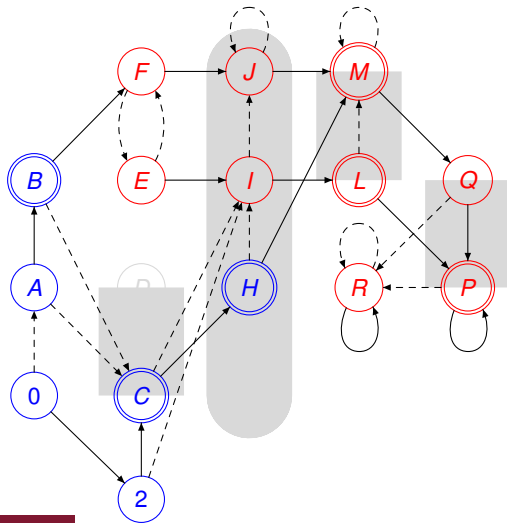
## Merging states (cont'd)



merges:  
D into C

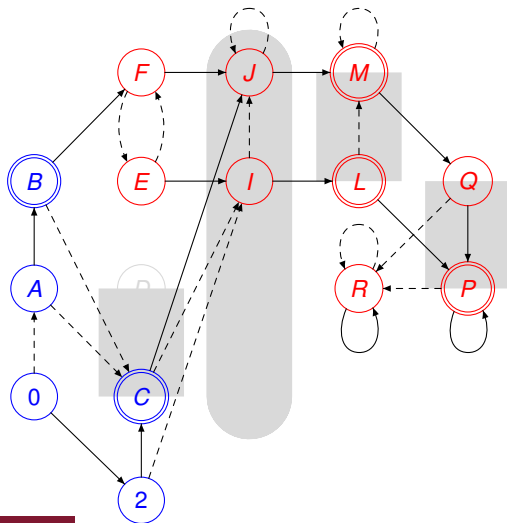


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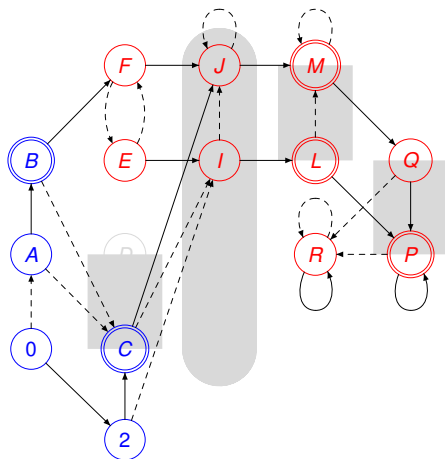
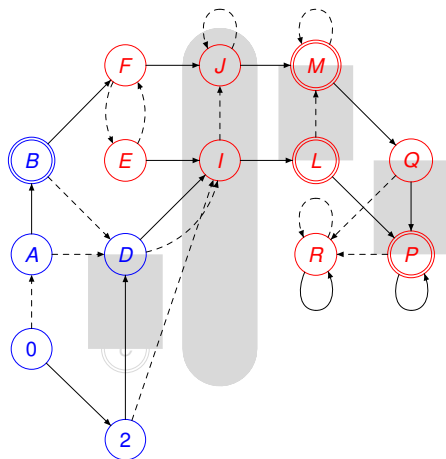
merges:  
*D into C*  
*G into I*  
*H into J*

## Merging states (cont'd)



merges:  
*D* into *C*  
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# Comparison



## Comparison (cont'd)

Theorem (BADR, GEFERT, SHIPMAN 2009)

*Two almost-equivalent, hyper-minimal DFA are isomorphic up to*

- 1 *finality of **preamble** states*
- 2 *transitions from **preamble** to **kernel** states*
- 3 *initial state*

## Comparison (cont'd)

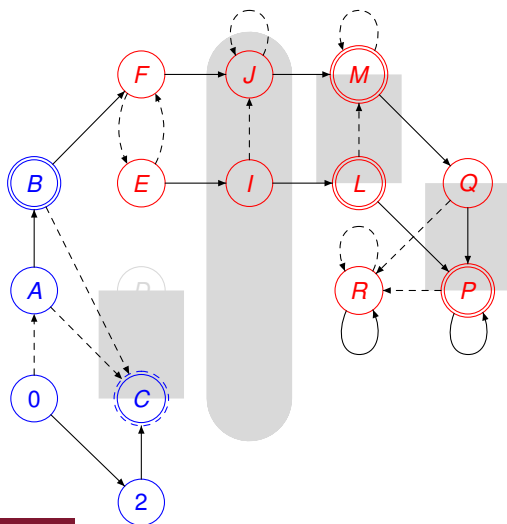
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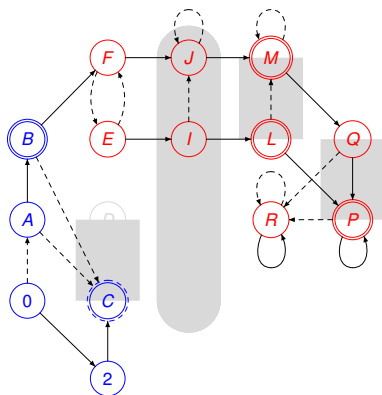


# Optimal merges



## Errors

# Finality of preamble states

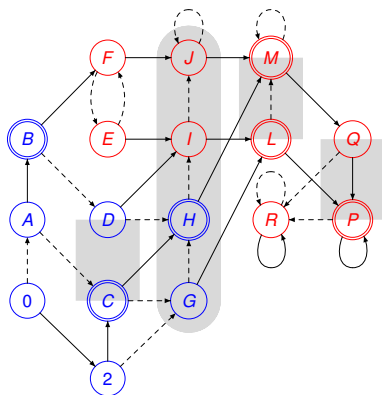


## Question

Which words lead to  $C$ ?

word $w$	$w \in L$
$\rightarrow \rightarrow$	
$-\rightarrow -\rightarrow$	
$-\rightarrow \rightarrow -\rightarrow$	

# Finality of preamble states

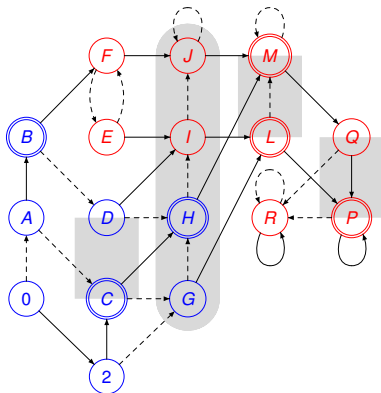


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word $w$	$w \in L$
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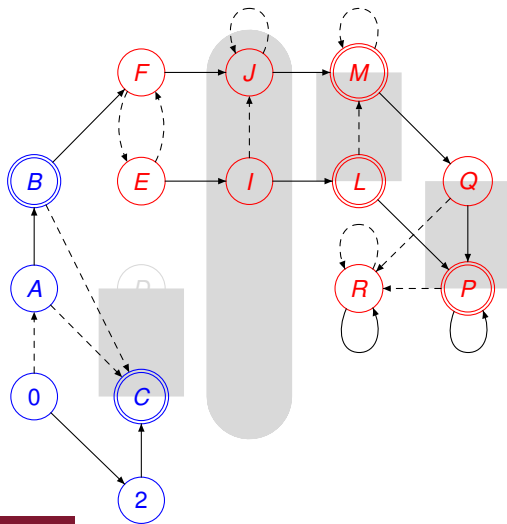
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word $w$	$w \in L$
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$\Rightarrow$  make  $C$  final

## Optimal merges (cont'd)



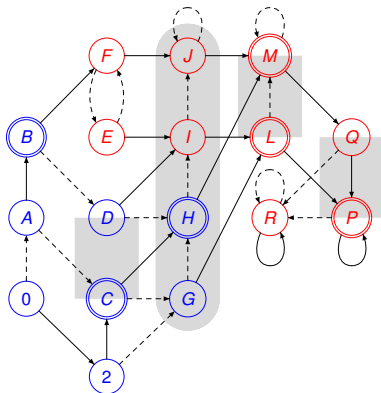
Errors







# Transitions from preamble to kernel states



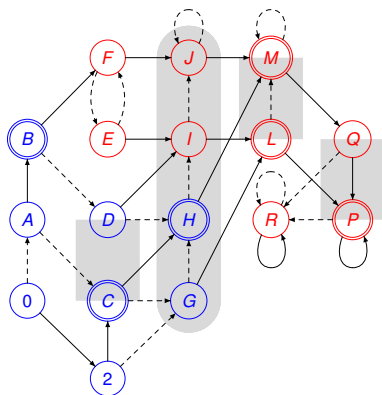
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states	words (number)
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$L-M$	$\rightarrow$ (1)
$I-J$	$\rightarrow \rightarrow$ (1)



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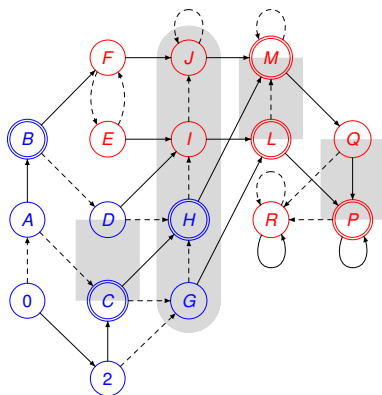


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$H-J$	$\varepsilon, \dashrightarrow \rightarrow \rightarrow$ (2)

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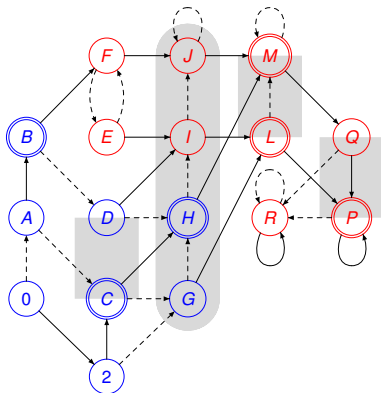


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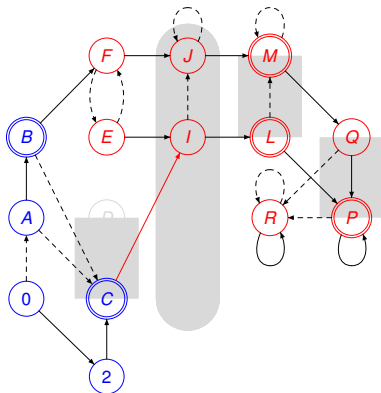


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$H-I$	$\varepsilon, \dashrightarrow \rightarrow \rightarrow, \rightarrow \rightarrow$ (3)
$G-J$	$\dots$ (3)
$G-I$	$\dots$ (2)
$G-H$	$\dots$ (5)

## Transitions from preamble to kernel states (cont'd)



## Errors

$$u \rightarrow w$$

- $u$  leads to  $C$
- $w$  error between  $H-I$

$$\rightarrow \rightarrow \rightarrow$$

$$\rightarrow \rightarrow \rightarrow \dashrightarrow \rightarrow \rightarrow$$

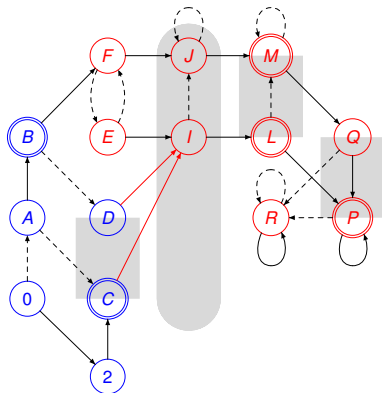
$$\rightarrow \rightarrow \rightarrow \rightarrow \rightarrow$$

$$\dashrightarrow \dashrightarrow \rightarrow$$

$$\dashrightarrow \dashrightarrow \rightarrow \dashrightarrow \rightarrow \rightarrow$$

$$\dashrightarrow \dashrightarrow \rightarrow \rightarrow \rightarrow \rightarrow \quad (6)$$

## Transitions from preamble to kernel states (cont'd)



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→ → →

→ → → - - - → → →

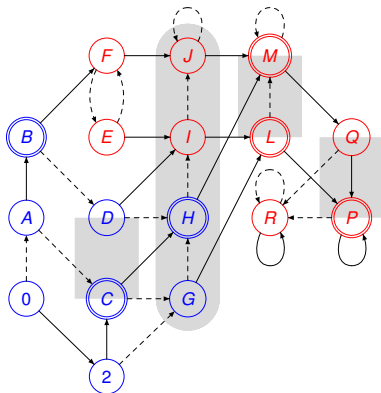
→ → → → →

- - - → → →

- - - → → → - - - → → →

- - - → → → → → (6)

## Transitions from preamble to kernel states (cont'd)



## Errors

$$u \longrightarrow w$$

- $u$  leads to  $C$
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$$\longrightarrow \longrightarrow \longrightarrow$$

$$\longrightarrow \longrightarrow \longrightarrow \dashrightarrow \longrightarrow \longrightarrow$$

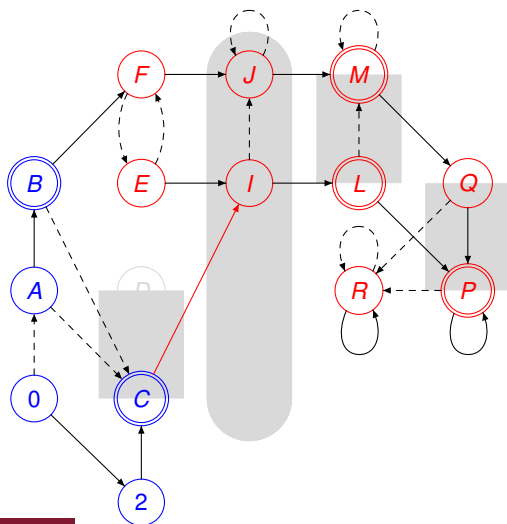
$$\longrightarrow \longrightarrow \longrightarrow \longrightarrow \longrightarrow$$

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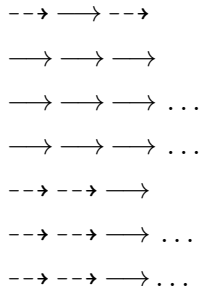
$$\dashrightarrow \dashrightarrow \longrightarrow \dashrightarrow \longrightarrow \longrightarrow$$

$$\dashrightarrow \dashrightarrow \longrightarrow \longrightarrow \longrightarrow \quad (6)$$

## Optimal merges (cont'd)

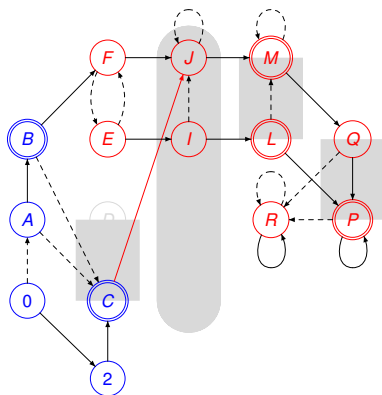


## Errors



(7)

## Transitions from preamble to kernel states (cont'd)



## Errors

$$u \rightarrow w$$

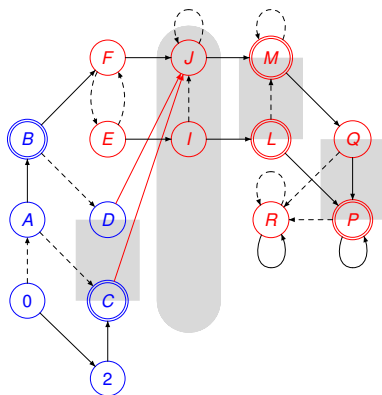
- $u$  leads to  $C$  (2)
- $w \in H-J$  (2)

or

- $u$  leads to  $D$  (1)
- $w \in I-J$  (1)



## Transitions from preamble to kernel states (cont'd)



## Errors

$$u \rightarrow w$$

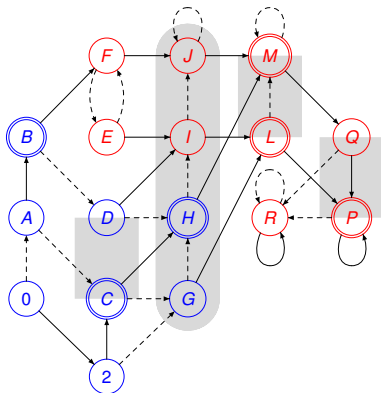
- $u$  leads to  $C$  (2)
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## Errors

$$u \rightarrow w$$

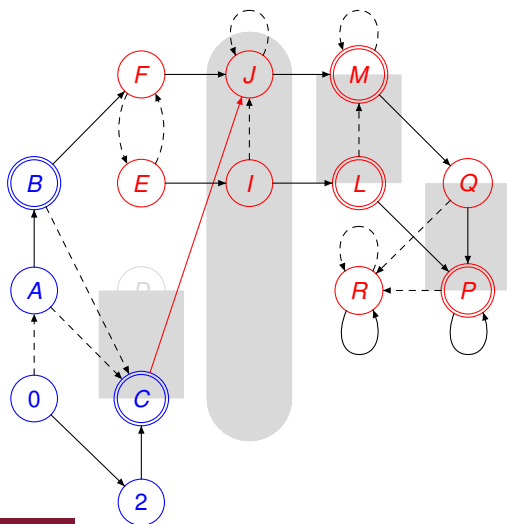
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or

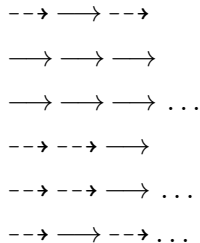
- $u$  leads to  $D$  (1)
- $w \in I-J$  (1)

 $\Rightarrow$  only 5 errors

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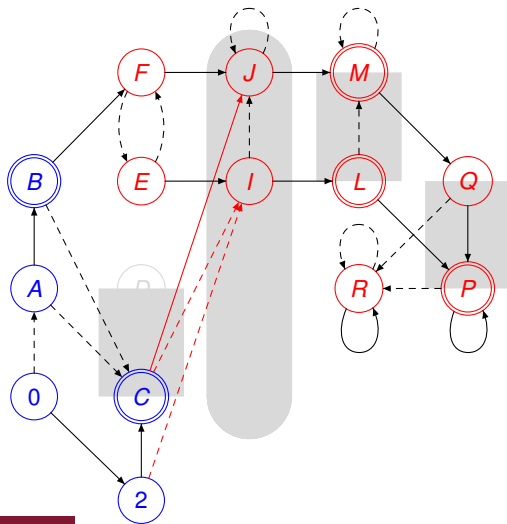


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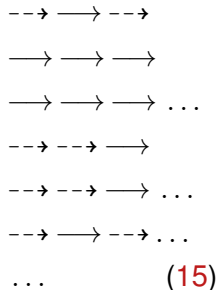


(6)

## Optimal merges (cont'd)



## Errors



# Main result

## Theorem

*Hyper-optimization can be achieved in  $O(n^2)$ .*

## Open question

Can it also be done in  $O(n \log n)$ ?

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## References

- **BADR**: *Hyper-minimization in  $O(n^2)$* . Int. J. Found. Comput. Sci. 20, 2009
- **BADR, GEFFERT, SHIPMAN**: *Hyper-minimizing minimized deterministic finite state automata*. ITA 43, 2009
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- **HOLZER, MALETTI**: *An  $n \log n$  algorithm for hyper-minimizing states in a (minimized) deterministic automaton*. CIAA 2009
- **QUERNHEIM**: *Hyper-minimisation of weighted finite automata*. Master thesis, 2010

Thank you for your attention!