

# Introduction to Information Retrieval

<http://informationretrieval.org>

IIR 8: Evaluation & Result Summaries

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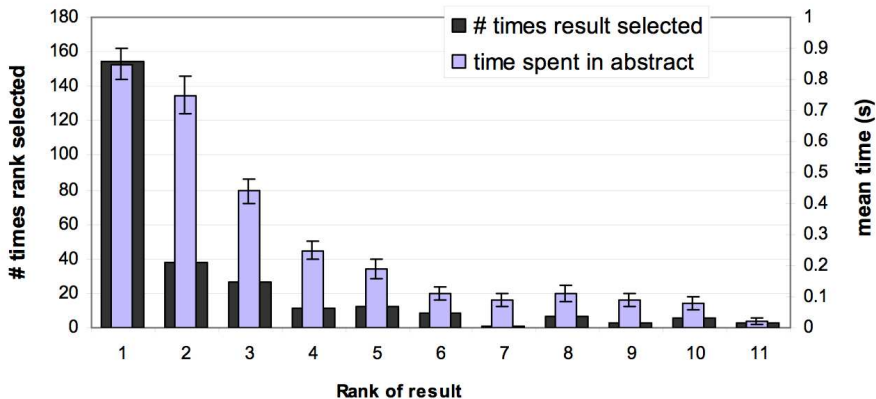
# Overview

- 1 Recap
- 2 Unranked evaluation
- 3 Ranked evaluation
- 4 Evaluation benchmarks
- 5 Result summaries

# Outline

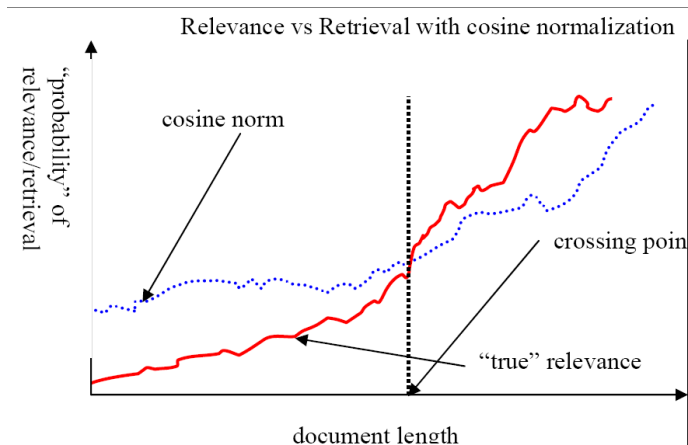
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# Looking vs. Clicking



- Users view results one and two more often / thoroughly
- Users click most frequently on result one

# Pivot normalization



source:  
Lillian Lee

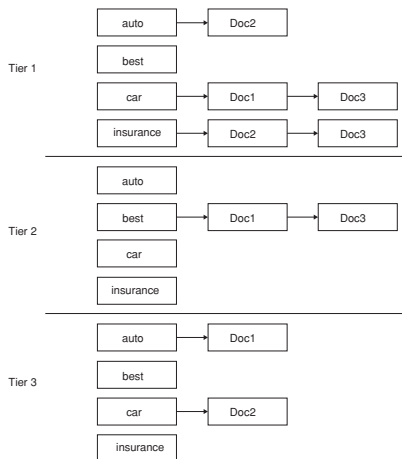
## Selecting $k$ top scoring documents in $O(N \log k)$

- Goal: Keep the  $k$  top documents seen so far
- Use a binary min heap
- To process a new document  $d'$  with score  $s'$ :
  - Get current minimum  $h_m$  of heap (in  $O(1)$ )
  - If  $s' \leq h_m$  skip to next document
  - If  $s' > h_m$  heap-delete-root (in  $O(\log k)$ )
  - Heap-add  $d'/s'$  (in  $O(1)$ )
  - Reheapify (in  $O(\log k)$ )

# Heuristics for finding the top $k$ even faster

- Document-at-a-time processing
  - We complete computation of the query-document similarity score of document  $d_i$  before starting to compute the query-document similarity score of  $d_{i+1}$ .
  - Requires a consistent ordering of documents in the postings lists
- Term-at-a-time processing
  - We complete processing the postings list of query term  $t_i$  before starting to process the postings list of  $t_{i+1}$ .
  - Requires an accumulator for each document “still in the running”
- The most effective heuristics switch back and forth between term-at-a-time and document-at-a-time processing.

# Tiered index



# Take-away today

- Introduction to evaluation: Measures of an IR system
- Evaluation of unranked and ranked retrieval
- Evaluation benchmarks
- Result summaries

# Outline

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# Measures for a search engine

- How fast does it index
  - e.g., number of bytes per hour
- How fast does it search
  - e.g., latency as a function of queries per second
- What is the cost per query?
  - in dollars

# Measures for a search engine

- All of the preceding criteria are **measurable**: we can quantify speed / size / money
- However, the key measure for a search engine is **user happiness**.
- What is user happiness?
- Factors include:
  - Speed of response
  - Size of index
  - Uncluttered UI
  - Most important: **relevance**
  - (actually, maybe even more important: it's free)
- Note that none of these is sufficient: blindingly fast, but useless answers won't make a user happy.
- **How can we quantify user happiness?**

# Who is the user?

- Who is the user we are trying to make happy?
- Web search engine: searcher. Success: Searcher finds what she was looking for. Measure: rate of return to this search engine
- Web search engine: advertiser. Success: Searcher clicks on ad. Measure: clickthrough rate
- Ecommerce: buyer. Success: Buyer buys something. Measures: time to purchase, fraction of “conversions” of searchers to buyers
- Ecommerce: seller. Success: Seller sells something. Measure: profit per item sold
- Enterprise: CEO. Success: Employees are more productive (because of effective search). Measure: profit of the company

# Most common definition of user happiness: Relevance

- User happiness is equated with the relevance of search results to the query.
- But how do you measure relevance?
- Standard methodology in information retrieval consists of three elements.
  - A benchmark document collection
  - A benchmark suite of queries
  - An assessment of the relevance of each query-document pair

## Relevance: query vs. information need

- Relevance to **what?**
- First take: relevance to the query
- “Relevance to the query” is very problematic.
- **Information need  $i$** : “I am looking for information on whether drinking red wine is more effective at reducing your risk of heart attacks than white wine.”
- This is an information need, not a query.
- **Query  $q$** : [red wine white wine heart attack]
- Consider document  $d'$ : *At the heart of his speech was an attack on the wine industry lobby for downplaying the role of red and white wine in drunk driving.*
- $d'$  is an excellent match for query  $q$  . . .
- $d'$  is **not** relevant to the information need  $i$ .

## Relevance: query vs. information need

- User happiness can only be measured by relevance to an information need, not by relevance to queries.
- Our terminology is sloppy in these slides and in IIR: we talk about query-document relevance judgments even though we mean information-need-document relevance judgments.

# Precision and recall

- Precision ( $P$ ) is the fraction of retrieved documents that are relevant

$$\text{Precision} = \frac{\#(\text{relevant items retrieved})}{\#(\text{retrieved items})} = P(\text{relevant}|\text{retrieved})$$

- Recall ( $R$ ) is the fraction of relevant documents that are retrieved

$$\text{Recall} = \frac{\#(\text{relevant items retrieved})}{\#(\text{relevant items})} = P(\text{retrieved}|\text{relevant})$$

# Precision and recall

	Relevant	Nonrelevant
Retrieved	true positives (TP)	false positives (FP)
Not retrieved	false negatives (FN)	true negatives (TN)

$$P = TP / (TP + FP)$$

$$R = TP / (TP + FN)$$

# Precision/recall tradeoff

- You can increase recall by returning more docs.
- Recall is a non-decreasing function of the number of docs retrieved.
- A system that returns all docs has 100% recall!
- The converse is also true (usually): It's easy to get high precision for very low recall.
- Suppose the document with the largest score is relevant. How can we maximize precision?

## A combined measure: $F$

- $F$  allows us to trade off precision against recall.
- 

$$F = \frac{1}{\alpha \frac{1}{P} + (1 - \alpha) \frac{1}{R}} = \frac{(\beta^2 + 1)PR}{\beta^2 P + R} \quad \text{where} \quad \beta^2 = \frac{1 - \alpha}{\alpha}$$

- $\alpha \in [0, 1]$  and thus  $\beta^2 \in [0, \infty]$
- Most frequently used: **balanced  $F$**  with  $\beta = 1$  or  $\alpha = 0.5$ 
  - This is the **harmonic mean** of  $P$  and  $R$ :  $\frac{1}{F} = \frac{1}{2}(\frac{1}{P} + \frac{1}{R})$
- What value range of  $\beta$  weights recall higher than precision?

## F: Example

	relevant	not relevant	
retrieved	20	40	60
not retrieved	60	1,000,000	1,000,060
	80	1,000,040	1,000,120

- $P = 20/(20 + 40) = 1/3$
- $R = 20/(20 + 60) = 1/4$
- $F_1 = 2 \frac{1}{\frac{1}{3} + \frac{1}{4}} = 2/7$

# Accuracy

- Why do we use complex measures like precision, recall, and  $F$ ?
- Why not something simple like accuracy?
- Accuracy is the fraction of decisions (relevant/nonrelevant) that are correct.
- In terms of the contingency table above,  
accuracy =  $(TP + TN)/(TP + FP + FN + TN)$ .

## Exercise

- Compute precision, recall and  $F_1$  for this result set:

	relevant	not relevant
retrieved	18	2
not retrieved	82	1,000,000,000

- The snoogle search engine below always returns 0 results (“0 matching results found”), regardless of the query. Why does snoogle demonstrate that accuracy is not a useful measure in IR?

The logo for snoogle.com, where the letters are in a colorful, rounded font with a blue-to-orange gradient.

**Search for:**

*0 matching results found.*

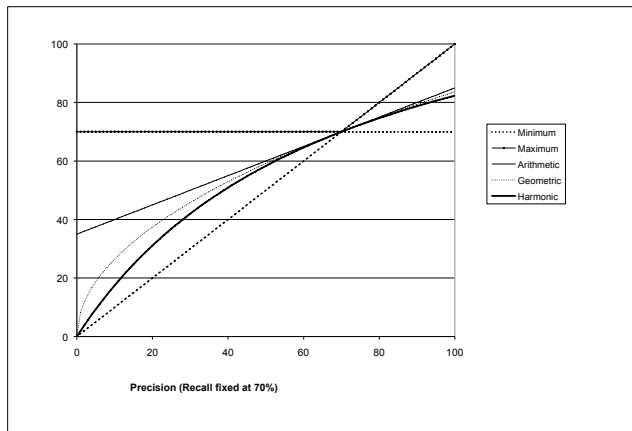
# Why accuracy is a useless measure in IR

- Simple trick to maximize accuracy in IR: always say no and return nothing
- You then get 99.99% accuracy on most queries.
- Searchers on the web (and in IR in general) **want to find something** and have a certain tolerance for junk.
- It's better to return some bad hits as long as you return something.
- → We use precision, recall, and  $F$  for evaluation, not accuracy.

## F: Why harmonic mean?

- Why don't we use a different mean of  $P$  and  $R$  as a measure?
  - e.g., the arithmetic mean
- The simple (arithmetic) mean is 50% for “return-everything” search engine, which is too high.
- Desideratum: Punish really bad performance on either precision or recall.
- Taking the minimum achieves this.
- But minimum is not smooth and hard to weight.
- $F$  (harmonic mean) is a kind of smooth minimum.

# $F_1$ and other averages



- We can view the harmonic mean as a kind of soft minimum

# Difficulties in using precision, recall and $F$

- We need relevance judgments for information-need-document pairs – but they are expensive to produce.
- For alternatives to using precision/recall and having to produce relevance judgments – see end of this lecture.

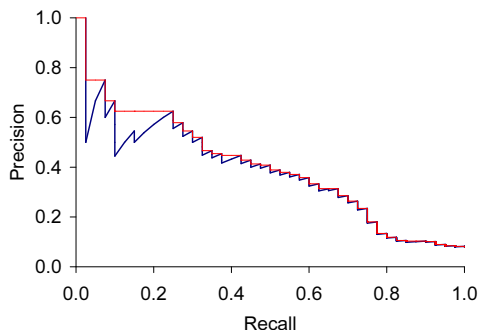
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# Precision-recall curve

- Precision/recall/F are measures for **unranked sets**.
- We can easily turn set measures into measures of **ranked lists**.
- Just compute the set measure for each “prefix”: the top 1, top 2, top 3, top 4 etc results
- Doing this for precision and recall gives you a **precision-recall curve**.

# A precision-recall curve



- Each point corresponds to a result for the top  $k$  ranked hits ( $k = 1, 2, 3, 4, \dots$ ).
- **Interpolation (in red): Take maximum of all future points**
- Rationale for interpolation: The user is willing to look at more stuff if both precision and recall get better.
- **Questions?**

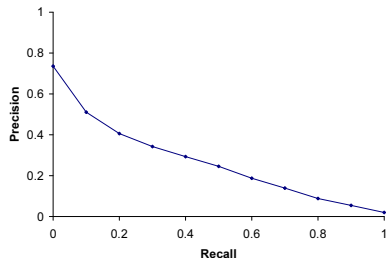
# 11-point interpolated average precision

Recall	Interpolated Precision
0.0	1.00
0.1	0.67
0.2	0.63
0.3	0.55
0.4	0.45
0.5	0.41
0.6	0.36
0.7	0.29
0.8	0.13
0.9	0.10
1.0	0.08

11-point average:  $\approx$   
0.425

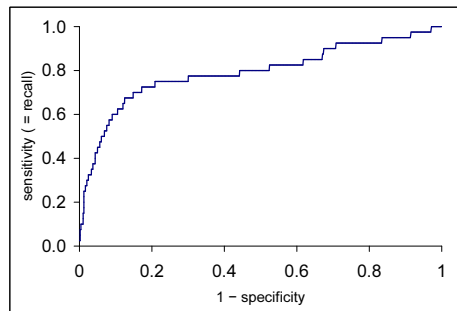
How can precision  
at 0.0 be  $> 0$ ?

# Averaged 11-point precision/recall graph



- Compute interpolated precision at recall levels 0.0, 0.1, 0.2, . . .
- Do this for each of the queries in the evaluation benchmark
- Average over queries
- This measure measures performance **at all recall levels**.
- The curve is typical of performance levels at TREC.
- Note that performance is not very good!

# ROC curve



- Similar to precision-recall graph
- But we are only interested in the small area in the lower left corner.
- Precision-recall graph “blows up” this area.

# Variance of measures like precision/recall

- For a test collection, it is usual that a system does badly on some information needs (e.g.,  $P = 0.2$  at  $R = 0.1$ ) and really well on others (e.g.,  $P = 0.95$  at  $R = 0.1$ ).
- Indeed, it is usually the case that the variance of the same system across queries is much greater than the variance of different systems on the same query.
- That is, there are easy information needs and hard ones.

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# What we need for a benchmark

- A collection of documents
  - Documents must be representative of the documents we expect to see in reality.
- A collection of information needs
  - . . . which we will often incorrectly refer to as queries
  - Information needs must be representative of the information needs we expect to see in reality.
- Human relevance assessments
  - We need to hire/pay “judges” or assessors to do this.
  - Expensive, time-consuming
  - Judges must be representative of the users we expect to see in reality.

# Standard relevance benchmark: Cranfield

- Pioneering: first testbed allowing precise quantitative measures of information retrieval effectiveness
- Late 1950s, UK
- 1398 abstracts of aerodynamics journal articles, a set of 225 queries, exhaustive relevance judgments of all query-document-pairs
- Too small, too untypical for serious IR evaluation today

# Standard relevance benchmark: TREC

- TREC = Text Retrieval Conference (TREC)
- Organized by the U.S. National Institute of Standards and Technology (NIST)
- TREC is actually a set of several different relevance benchmarks.
- Best known: TREC Ad Hoc, used for first 8 TREC evaluations between 1992 and 1999
- 1.89 million documents, mainly newswire articles, 450 information needs
- No exhaustive relevance judgments – too expensive
- Rather, NIST assessors' relevance judgments are available only for the documents that were among the top  $k$  returned for some system which was entered in the TREC evaluation for which the information need was developed.

# Standard relevance benchmarks: Others

- GOV2
  - Another TREC/NIST collection
  - 25 million web pages
  - Used to be largest collection that is easily available
  - But still 3 orders of magnitude smaller than what Google/Yahoo/MSN index
- NTCIR
  - East Asian language and cross-language information retrieval
- Cross Language Evaluation Forum (CLEF)
  - This evaluation series has concentrated on European languages and cross-language information retrieval.
- Many others

# Validity of relevance assessments

- Relevance assessments are only usable if they are **consistent**.
- If they are not consistent, then there is no “truth” and experiments are not repeatable.
- How can we measure this consistency or agreement among judges?
- → Kappa measure

# Kappa measure

- Kappa is measure of how much judges agree or disagree.
- Designed for categorical judgments
- Corrects for chance agreement
- $P(A)$  = proportion of time judges agree
- $P(E)$  = what agreement would we get by chance



$$\kappa = \frac{P(A) - P(E)}{1 - P(E)}$$

- $\kappa = ?$  for (i) chance agreement (ii) total agreement

## Kappa measure (2)

- Values of  $\kappa$  in the interval  $[2/3, 1.0]$  are seen as acceptable.
- With smaller values: need to redesign relevance assessment methodology used etc.

# Calculating the kappa statistic

		Judge 2 Relevance			
		Yes	No	Total	
Judge 1 Relevance	Yes	300	20	320	Observed proportion of
	No	10	70	80	
	Total	310	90	400	

the times the judges agreed

$$P(A) = (300 + 70)/400 = 370/400 = 0.925$$

Pooled marginals

$$P(\text{nonrelevant}) = (80 + 90)/(400 + 400) = 170/800 = 0.2125$$

$$P(\text{relevant}) = (320 + 310)/(400 + 400) = 630/800 = 0.7878$$

Probability that the two judges agreed by chance  $P(E) =$

$$P(\text{nonrelevant})^2 + P(\text{relevant})^2 = 0.2125^2 + 0.7878^2 = 0.665$$

Kappa statistic  $\kappa = (P(A) - P(E))/(1 - P(E)) =$

$$(0.925 - 0.665)/(1 - 0.665) = 0.776 \text{ (still in acceptable range)}$$

# Interjudge agreement at TREC

information need	number of docs judged	disagreements
51	211	6
62	400	157
67	400	68
95	400	110
127	400	106

# Impact of interjudge disagreement

- Judges disagree a lot. Does that mean that the results of information retrieval experiments are meaningless?
- No.
- Large impact on absolute performance numbers
- Virtually no impact on ranking of systems
- Suppose we want to know if algorithm A is better than algorithm B
- An information retrieval experiment will give us a reliable answer to this question ...
- ... even if there is a lot of disagreement between judges.

# Evaluation at large search engines

- Recall is difficult to measure on the web
- Search engines often use precision at top  $k$ , e.g.,  $k = 10 \dots$
- $\dots$  or use measures that reward you more for getting rank 1 right than for getting rank 10 right.
- Search engines also use non-relevance-based measures.
  - Example 1: clickthrough on first result
  - Not very reliable if you look at a single clickthrough (you may realize after clicking that the summary was misleading and the document is nonrelevant)  $\dots$
  - $\dots$  but pretty reliable in the aggregate.
  - Example 2: Ongoing studies of user behavior in the lab – recall last lecture
  - Example 3: A/B testing

# A/B testing

- Purpose: Test a single innovation
- Prerequisite: You have a large search engine up and running.
- Have most users use old system
- Divert a small proportion of traffic (e.g., 1%) to the new system that includes the innovation
- Evaluate with an “automatic” measure like clickthrough on first result
- Now we can directly see if the innovation does improve user happiness.
- Probably the evaluation methodology that large search engines trust most

# Critique of pure relevance

- We've defined relevance for an isolated query-document pair.
- Alternative definition: marginal relevance
- The **marginal relevance** of a document at position  $k$  in the result list is the additional information it contributes over and above the information that was contained in documents  $d_1 \dots d_{k-1}$ .
- Exercise
  - Why is marginal relevance a more realistic measure of user happiness?
  - Give an example where a non-marginal measure like precision or recall is a misleading measure of user happiness, but marginal relevance is a good measure.
  - In a practical application, what is the difficulty of using marginal measures instead of non-marginal measures?

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# How do we present results to the user?

- Most often: as a list – aka “10 blue links”
- How should each document in the list be described?
- This description is crucial.
- The user often can identify good hits (= relevant hits) based on the description.
- No need to actually view any document

## Doc description in result list

- Most commonly: doc title, url, some metadata ...
- ... and a summary
- How do we “compute” the summary?

# Summaries

- Two basic kinds: (i) static (ii) dynamic
- A **static summary** of a document is always the same, regardless of the query that was issued by the user.
- **Dynamic summaries** are **query-dependent**. They attempt to explain why the document was retrieved for the query at hand.

# Static summaries

- In typical systems, the static summary is a subset of the document.
- Simplest heuristic: the first 50 or so words of the document
- More sophisticated: extract from each document a set of “key” sentences
  - Simple NLP heuristics to score each sentence
  - Summary is made up of top-scoring sentences.
  - Machine learning approach: see IIR 13
- Most sophisticated: complex NLP to synthesize/generate a summary
  - For most IR applications: not quite ready for prime time yet

# Dynamic summaries

- Present one or more “windows” or snippets within the document that contain several of the query terms.
- Prefer snippets in which query terms occurred as a phrase
- Prefer snippets in which query terms occurred jointly in a small window
- The summary that is computed this way gives the entire content of the window – all terms, not just the query terms.

# A dynamic summary

Query: “new guinea economic development” Snippets (in bold)

that were extracted from a document: . . . **In recent years, Papua New Guinea has faced severe economic difficulties and**

economic growth has slowed, partly as a result of weak governance and civil war, and partly as a result of external factors such as the Bougainville civil war which led to the closure in 1989 of the Panguna mine (at that time the most important foreign exchange earner and contributor to Government finances), the Asian financial crisis, a decline in the prices of gold and copper, and a fall in the production of oil. **PNG's economic development record**

**over the past few years is evidence that** governance issues underly many of the country's problems. Good governance, which may be defined as the transparent and accountable management of human, natural, economic and financial resources for the purposes of equitable and sustainable development, flows from proper public sector management, efficient fiscal and accounting mechanisms, and a willingness to make service delivery a priority in practice. . . .

# Google examples for dynamic summaries

# Generating dynamic summaries

- Where do we get these other terms in the snippet from?
- We cannot construct a dynamic summary from the positional inverted index – at least not efficiently.
- We need to cache documents.
- The positional index tells us: query term occurs at position 4378 in the document.
- Byte offset or word offset?
- Note that the cached copy can be outdated
- Don't cache very long documents – just cache a short prefix

# Dynamic summaries

- Real estate on the search result page is limited → snippets must be short ...
- ... but snippets must be long enough to be meaningful.
- Snippets should communicate whether and how the document answers the query.
- Ideally: linguistically well-formed snippets
- Ideally: the snippet should answer the query, so we don't have to look at the document.
- Dynamic summaries are a big part of user happiness because ...
  - ... we can quickly scan them to find the relevant document we then click on.
  - ... in many cases, we don't have to click at all and save time.

# Take-away today

- Introduction to evaluation: Measures of an IR system
- Evaluation of unranked and ranked retrieval
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# Resources

- Chapter 8 of IIR
- Resources at <http://ifnlp.org/ir>
  - The TREC home page – TREC had a huge impact on information retrieval evaluation.
  - Originator of  $F$ -measure: Keith van Rijsbergen
  - More on A/B testing
  - Too much A/B testing at Google?
  - Tombros & Sanderson 1998: one of the first papers on dynamic summaries
  - Google VP of Engineering on search quality evaluation at Google