# Minimizing Weighted Tree Grammars using Simulation

#### Andreas Maletti



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### Simulations

- "Half" a bisimulation
- Used in (lossless) reductions of the size of grammars
- Also used in logic (inseparability by logic formulae)

### Rough definition

A nonterminal B simulates another nonterminal A if any rule involving A is covered by a rule involving B

#### But

our new definition is not as intuitive



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	Existing Simulation	
Property	Backward	Forward
Generalization		
unweighted simulation	1	1
weighted bisimulation	×	×
Computation		
Admits greatest simulation	1	1
Yields minimal device	×	X
Easy to compute	1	1



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Property	Backward	Forward
<b>Generalization</b> unweighted simulation weighted bisimulation	✓ ★	✓ ×
<b>Computation</b> Admits greatest simulation Yields minimal device Easy to compute	✓ × ✓	✓ ▼ ✓



## Weighted Tree Grammar

## 2 Backward Simulation





### **Tree Series**

- Assigns weight (e.g. a probability) to each tree
- Weights drawn from semiring; e.g.  $([0, 1], max, \cdot, 0, 1)$

#### Weighted Tree Grammar

Finite representation of a tree series

### Applications

- Re-ranker implementing a language model
- Representation of a parser (or parses)



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# Syntax

## Definition

Weighted tree grammar (WTG) is tuple  $(N, \Sigma, S, S, P)$  where

- N: finite set of nonterminals
- Σ: ranked alphabet of symbols
- $\mathcal{S} = (\mathcal{S}, +, \cdot, 0, 1)$ : semiring of *weights*
- $S \in N$ : start nonterminal
- *P* finite set of productions of the form  $A \xrightarrow{s} \sigma(A_1, \ldots, A_k)$  with  $A, A_1, \ldots, A_k \in N, s \in S$ , and  $\sigma \in \Sigma_k$

#### Notation

We write wt(
$$A \rightarrow \sigma(A_1, \ldots, A_k)$$
) =  $s$  if  $A \stackrel{s}{\rightarrow} \sigma(A_1, \ldots, A_k) \in P$ 



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## Syntax — Illustration

## Sample Grammar





## Restriction

Here only for idempotent (i.e. 1 + 1 = 1) semirings

### Definition

Let 
$$c \in C_{\Sigma}(N)$$
 and  $p = A \xrightarrow{s} \sigma(A_1, \ldots, A_k) \in P$ 

$$c[A] \stackrel{p}{\Rightarrow} c[\sigma(A_1,\ldots,A_k)]$$

Weight of a tree  $t \in T_{\Sigma}$  in nonterminal *A*:

$$wt(t, A) = \sum_{\substack{p_1, \dots, p_n \in P \\ A \stackrel{p_1}{\longrightarrow} \dots \stackrel{p_n}{\longrightarrow} t}} wt(p_1) \cdot \dots \cdot wt(p_n)$$

Weight of t: wt(t) = wt(t, S)



## Semantics — Illustration

## Sample Grammar



#### Sample derivations for input tree: $\sigma(\alpha, \alpha)$

$$3 \Rightarrow_{G} \sigma(1,2) \Rightarrow_{G} \sigma(\alpha,2) \Rightarrow_{G} \sigma(\alpha,\alpha)$$
  
$$3 \Rightarrow_{G} \sigma(4,5) \Rightarrow_{G} \sigma(\alpha,5) \Rightarrow_{G} \sigma(\alpha,\alpha)$$

rule weights:  $0.3 \cdot 0.2 \cdot 0.2$ rule weights:  $0.5 \cdot 0.4 \cdot 0.6$ 



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$$\begin{array}{l} \mathbf{3} \Rightarrow_{G} \sigma(\mathbf{1},\mathbf{2}) \Rightarrow_{G} \sigma(\alpha,\mathbf{2}) \Rightarrow_{G} \sigma(\alpha,\alpha) \\ \mathbf{3} \Rightarrow_{G} \sigma(\mathbf{4},\mathbf{5}) \Rightarrow_{G} \sigma(\alpha,\mathbf{5}) \Rightarrow_{G} \sigma(\alpha,\alpha) \end{array}$$

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# Idempotent semiring

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## Weighted Tree Grammar







## **Backward simulation**

### Old definition

A quasi-order  $\leq \subseteq N^2$  is a backward simulation if for every  $A \leq B$ ,  $\sigma \in \Sigma_k$ , and  $A_1, \ldots, A_k \in N$  there exist  $A_1 \leq B_1, \ldots, A_k \leq B_k$  such that

$$\mathsf{wt}(\mathbf{A} o \sigma(\mathbf{A}_1, \dots, \mathbf{A}_k)) \sqsubseteq \mathsf{wt}(\mathbf{B} o \sigma(\mathbf{B}_1, \dots, \mathbf{B}_k))$$

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## An example

### Example



$$1 \leq 2 \leq 4$$
 and  $1 \leq 5$ 



#### Theorem

There exists a greatest backward simulation for G.

#### Lemma (Main lemma)

For every  $t \in T_{\Sigma}$  and  $A \preceq B$ 

 $\operatorname{wt}(t, A) \sqsubseteq \operatorname{wt}(t, B)$ 

#### Corollary

For every  $t \in T_{\Sigma}$  and  $A \preceq B \preceq A$ 

 $\operatorname{wt}(t, A) = \operatorname{wt}(t, B)$ 



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# Reducing the grammar

### Definition

Let  $\simeq = \preceq \cap \preceq^{-1}$ . Let  $(G/\simeq) = (N', \Sigma, S, S', P')$  with

- *N*′ = (*N*/≃)
- *S*′ = [*S*]
- for every  $\sigma \in \Sigma_k$  and  $A', A'_1, \dots, A'_k \in N'$

$$\mathsf{wt}(\mathcal{A}' o \sigma(\mathcal{A}'_1, \dots, \mathcal{A}'_k)) = \sum_{\mathcal{A}_1 \in \mathcal{A}'_1, \dots, \mathcal{A}_k \in \mathcal{A}'_k} \mathsf{wt}(\mathcal{A} o \sigma(\mathcal{A}_1, \dots, \mathcal{A}_k))$$

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### G and $(G/\sim)$ are equivalent.



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# Full example

## Semiring $(\mathcal{P}(\{1,2\}),\cup,\cap,\emptyset,\{1,2\})$

### Example (Old backward simulation)





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### Example (New backward simulation)





# Algorithm computing the greatest simulation

 $R_{0} \leftarrow N \times N$   $i \leftarrow 0$ repeat  $j \leftarrow i$ for all  $\sigma \in \Sigma_{k}$  and  $A_{1}, \dots, A_{k} \in N$  do  $R_{i+1} \leftarrow \{(A, B) \in R_{i} \mid i\}$ 

$$\sum_{\substack{B_1 \in \uparrow_{B_i} A_1 \\ B_k \in \stackrel{\sim}{\uparrow}_{B_i} A_k}} \operatorname{wt}(A \to \sigma(B_1, \dots, B_k)) \sqsubseteq \sum_{\substack{B_1 \in \uparrow_{B_i} A_1 \\ B_k \in \stackrel{\sim}{\uparrow}_{B_i} A_k}} \operatorname{wt}(B \to \sigma(B_1, \dots, B_k))\}$$

 $i \leftarrow i + 1$ end for until  $R_i = R_j$ 



### Theorem

Every backward bisimulation [Högberg et al '07] is a backward simulation.

#### Theorem

If  $\preceq$  is the greatest backward simulation, then  $(G/\simeq)$  with  $\simeq = \preceq \cap \preceq^{-1}$  is backward-simulation minimal.

(i.e., it cannot be reduced further via backward simulation)



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## Weighted Tree Grammar

2 Backward Simulation





# Forward simulation

## Old definition

A quasi-order  $\leq \subseteq N^2$  is a forward simulation if for every  $A \leq B$ 

- if A = S, then B = S, and
- for every  $\sigma \in \Sigma_k$ ,  $1 \le i \le k$ , and  $A', A_1, \ldots, A_k \in N$  there exist  $B' \in N$  such that  $A' \preceq B'$  and

$$\mathsf{wt}(A' \to \sigma(A_1, \ldots, A, \ldots, A_k)) \sqsubseteq \mathsf{wt}(B' \to \sigma(A_1, \ldots, B, \ldots, A_k))$$

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## An example

### Example



#### no similar states



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#### Lemma (Main lemma)

For every  $c \in C_{\Sigma}$ ,  $A \preceq B$ , and up-set  $U \subseteq N$ 

$$\sum_{B' \in U} \operatorname{wt}(c[A], B') \sqsubseteq \sum_{B' \in U} \operatorname{wt}(c[B], B')$$

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For every  $c \in C_{\Sigma}$ ,  $A \preceq B \preceq A$ , and up-set  $U \subseteq N$ 

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$$\begin{array}{l} R_{0} \leftarrow \{(A,B) \in N \times N \mid \text{ if } A = S \text{ then } B = S\} \\ i \leftarrow 0 \\ \textbf{repeat} \\ j \leftarrow i \\ \textbf{for all } \sigma \in \Sigma_{k}, 1 \leq n \leq k, \text{ and } A', A_{1}, \dots, A_{k} \in N \text{ do} \\ R_{i+1} \leftarrow \{(A,B) \in R_{i} \mid \\ \\ \sum_{B' \in \uparrow_{R_{i}}A'} \text{wt}(B' \rightarrow \sigma(\dots, A, \dots)) \sqsubseteq \sum_{B' \in \uparrow_{R_{i}}A'} \text{wt}(B' \rightarrow \sigma(\dots, B, \dots))\} \\ i \leftarrow i + 1 \\ \textbf{end for} \\ \textbf{until } R_{i} = R_{i} \end{array}$$



# Additional (new) properties

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Every forward bisimulation [Högberg et al '07] is a forward simulation.

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