

Synchronous Forest Substitution Grammars

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Porquerolles Island, France (CAI 2013)

Outline

Motivation

Main model

Results

Machine translation

Translation

- ▶ **Input:**

Official forecasts predicted just 3 percent, Bloomberg said.

- ▶ **Reference:**

Offizielle Prognosen sind von nur 3 Prozent ausgegangen, meldete Bloomberg.

[official] [forecasts] [are] [of] [only] [3 percent] [assumed] [reported] [Bloomberg]

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[official] [forecasts] [are] [of] [only] [3 percent] [assumed] [reported] [Bloomberg]

- ▶ **Google Translate (translate.google.com):**

Offizielle Prognosen vorhergesagt nur 3 Prozent, sagte Bloomberg.

[official] [forecasts] [*predicted] [only] [3 percent] [said] [Bloomberg]

Machine translation

Translation

- ▶ **Input:**

The ECB wants to hold inflation to under two percent, or somewhere in that vicinity.

- ▶ **Reference:**

Die EZB ist bestrebt, die Inflationsrate unter zwei Prozent, [the] [ECB] [is] [desire] [the] [inflation rate] [below] [two percent] oder zumindest knapp an der Zwei-Prozent-Marke zu halten. [or] [at least] [close] [at] [the] [two percent mark] [to keep]

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or somewhere in that vicinity.

▶ Reference:

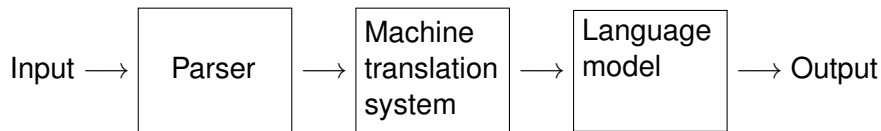
Die EZB ist bestrebt, die Inflationsrate unter zwei Prozent,
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oder zumindest knapp an der Zwei-Prozent-Marke zu halten.
[or] [at least] [close] [at] [the] [two percent mark] [to keep]

▶ Google Translate (translate.google.com):

Die EZB will die Inflation unter zwei Prozent zu halten ,
[the] [ECB] [wants] [the] [inflation] [below] [two percent] [*to keep]
oder irgendwo in der Nähe.
[or] [somewhere] [in] [the] [vicinity]

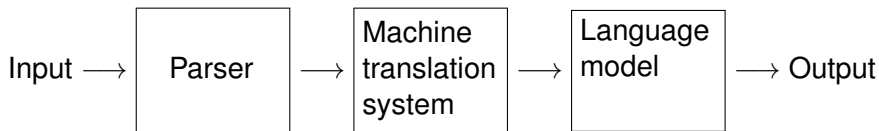
Syntax-based machine translation

Architecture



Syntax-based machine translation

Architecture



Formalisms

- ▶ Parser = weighted tree automaton
- ▶ Translation system = *some tree transducer*
- ▶ Language model = weighted string automaton

Resources

Input

- ▶ Parallel text (English and German) EUROPARL
- ▶ Parsers BITPAR, CHARNIAK, BERKELEY

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- ▶ “We must bear in mind the Community as a whole.”
- ▶ “Wir müssen uns davor hüten, alles vergemeinschaften zu wollen.”

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Example

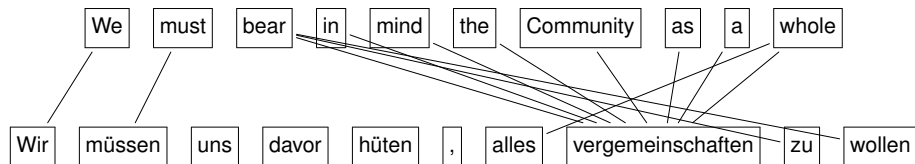
- ▶ “We must bear in mind the Community as a whole.”
- ▶ “Wir müssen uns davor hüten, alles vergemeinschaften zu wollen.”

EUROPARL German-English parallel data:

- ▶ 1,920,209 parallel sentences
- ▶ 44,548,491 words in German
- ▶ 47,818,827 words in English

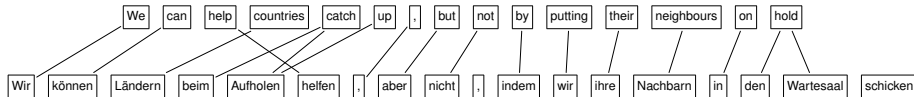
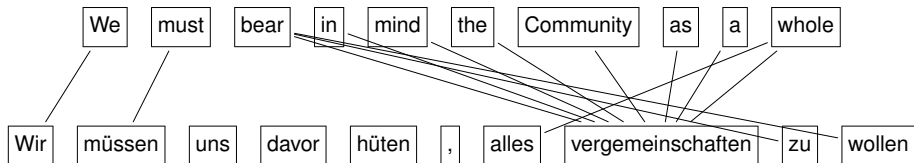
First step: word alignment

Alignments by GIZA++ [OCH, NEY '03]:



First step: word alignment

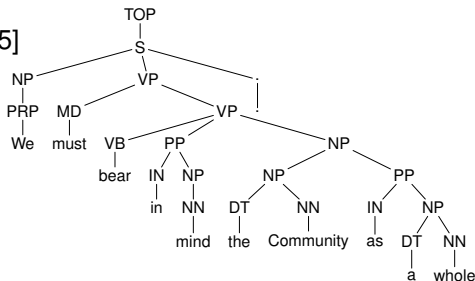
Alignments by GIZA++ [OCH, NEY '03]:



Second step: parsing

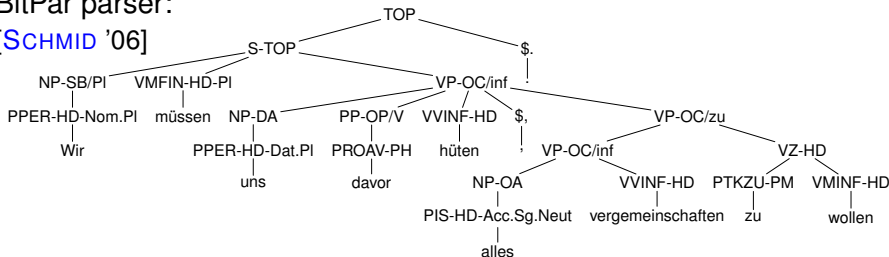
CHARNIAK parser:

[CHARNIAK, JOHNSON '05]



BitPar parser:

[SCHMID '06]



Full example

Parallel text

Yugoslav President Voislav signed for Serbia.

و تولى التوقيع عن صربيا الرئيس اليوغوسلافي فويسلاف

Transliteration: w twlY AltwqyE En SrbyA Alr}ys AlywgwslAf fy fwyslAf.

And then the matter was decided, and everything was put in place.

ف كان ان تم الحسم و وضعت الأمور في نصاب ها

Transliteration: f kAn An tm AlHsm w wDEt Al> mwr fy nSAb hA.

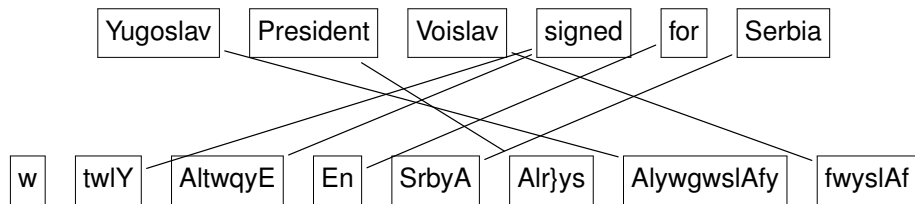
Below are the male and female winners in the different categories.

و هنا الأوائل و الأوليات في مختلف الفئات

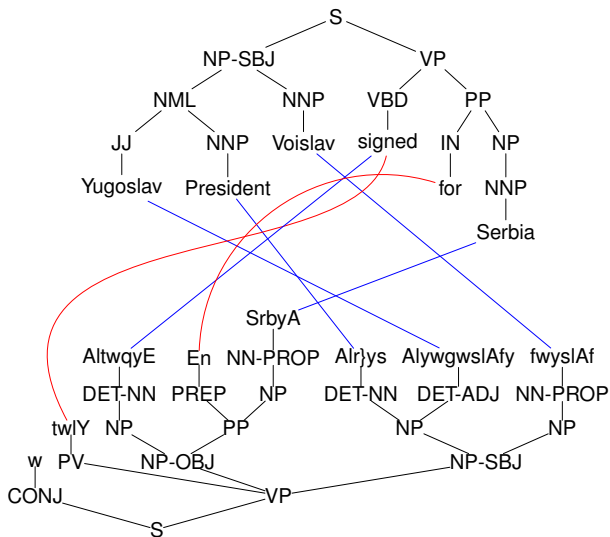
Transliteration: w hnA Al> wA}l w Al> wlyAt fy mx}tlf Alf}At.

Full example

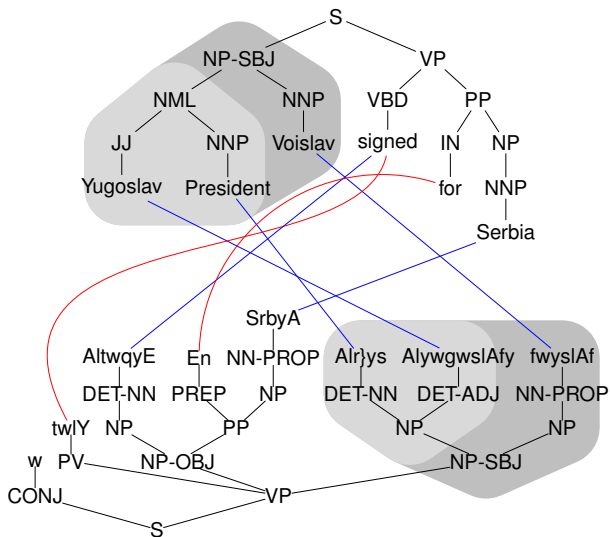
Alignment



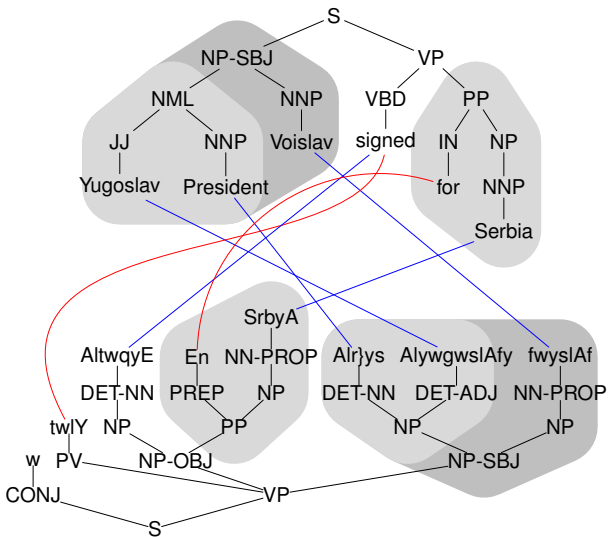
Third step: rule extraction



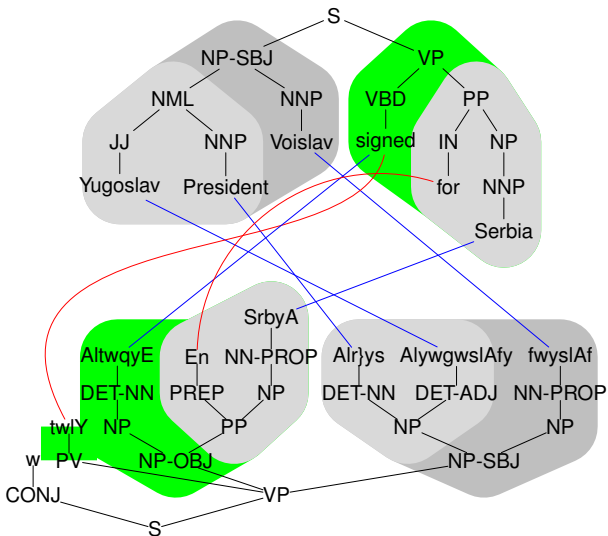
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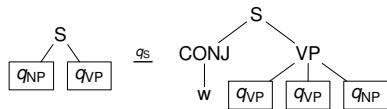
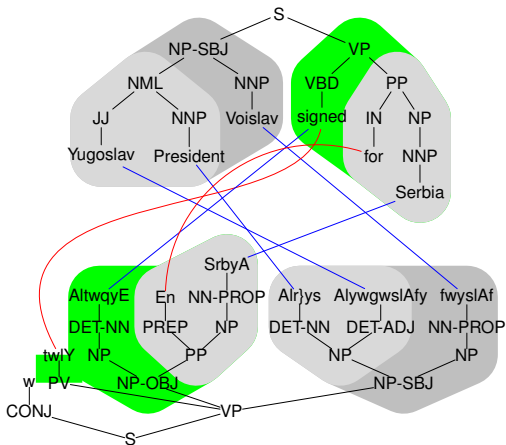
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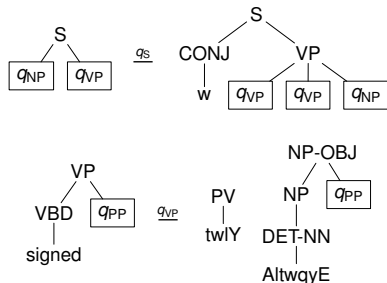
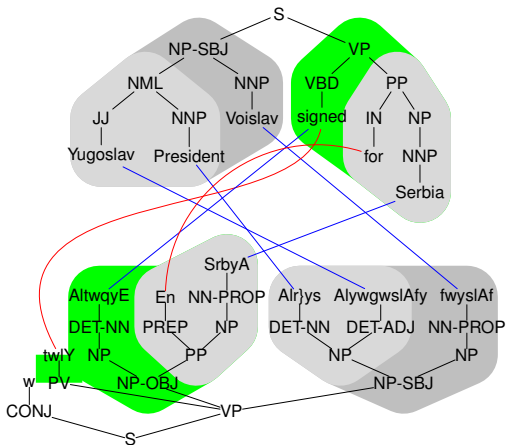
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Third step: rule extraction



Last step: evaluation

English to German [BRAUNE et al., 2013]

Model	BLEU
STSG (tree-to-tree)	12.60
GHKM (tree-to-string)	12.72
MBOT (tree-to-tree)	13.06

Last step: evaluation

English to German [BRAUNE et al., 2013]

Model	BLEU
STSG (tree-to-tree)	12.60
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Chinese to English [SUN et al., 2009]

Model	BLEU
FST (string-to-string)	23.86
STSG (tree-to-tree)	25.92
MBOT (tree-to-tree)	26.56
SFSG (tree-to-tree)	26.53

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RTG — Syntax

Definition (BRAINERD, 1969)

Regular tree grammar (RTG) is tuple $G = (Q, \Sigma, I, P)$

- ▶ alphabet Q *nonterminals*
- ▶ alphabet Σ *terminals*
- ▶ $I \subseteq Q$ *initial nonterminals*
- ▶ finite set $P \subseteq Q \times T_{\Sigma}(Q)$ *productions*

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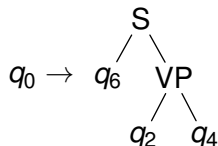
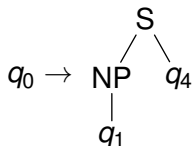
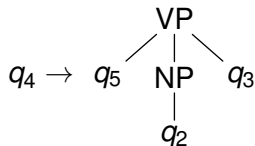
Remark

Instead of (q, r) we write $q \rightarrow r$

RTG — Syntax

Example

- ▶ $Q = \{q_0, q_1, q_2, q_3, q_4, q_5, q_6\}$
- ▶ $\Sigma = \{VP, NP, S, \dots\}$
- ▶ $I = \{q_0\}$
- ▶ and the following productions:



RTG — Semantics

Definition (Derivation semantics)

Sentential forms: $t, u \in T_{\Sigma}(Q)$

$$t \Rightarrow_G u$$

if there exist position $w \in \text{pos}(t)$ and production $q \rightarrow r \in P$

- ▶ $t = t[q]_w$
- ▶ $u = t[r]_w$

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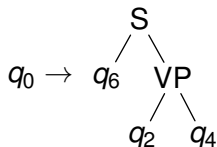
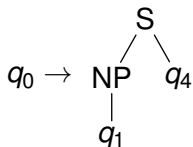
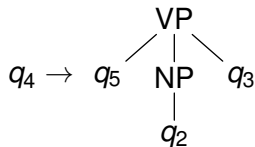
- ▶ $t = t[q]_w$
- ▶ $u = t[r]_w$

Definition (Recognized tree language)

$$L(G) = \{t \in T_\Sigma \mid \exists q \in I: q \Rightarrow_G^* t\}$$

RTG — Semantics

Example (Productions)

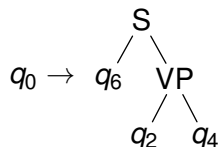
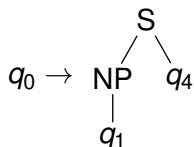
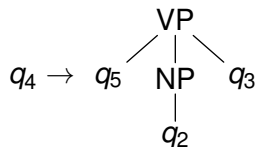


Example (Derivation)

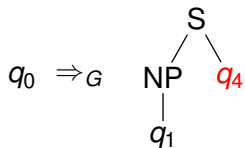
q_0

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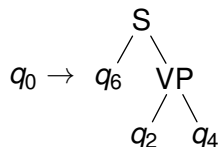
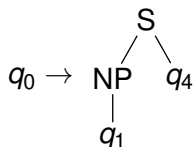
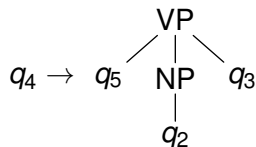


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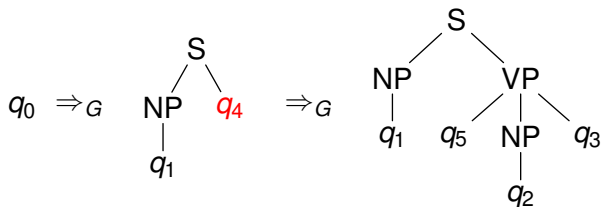


RTG — Semantics

Example (Productions)



Example (Derivation)



Synchronous Grammar

Intuition

- ▶ Productions that consist of several RTG productions
- ▶ **Synchronous** = several RTG productions are applied at once
- ▶ typically at least two RTG productions *input/output side*

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- ▶ but even several RTG productions per side possible

Definition

Given RTG (Q, Σ, I, P) and $q \in Q$ let

$$P_q = \{q \rightarrow r \mid q \rightarrow r \in P\}$$

SFSG — Syntax

Definition (RAOULT, 1997 and SUN et al., 2009)

Synchronous forest substitution grammar (SFSG) is tuple

$$G = (Q, \Sigma, I, P, R)$$

- ▶ (Q, Σ, I, P) is RTG *basic productions*
- ▶ $R \subseteq (\bigcup_{q \in I} P_q \times P_q) \cup (\bigcup_{q \in Q \setminus I} P_q^* \times P_q^*)$ finite *aligned rules*

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Definition (ARNOLD & DAUCHET, 1982)

Multi bottom-up tree transducer (MBOT) is SFSG with

$$R \subseteq \bigcup_{q \in Q} P_q \times P_q^*$$

SFSG — Syntax

Example

RTG $(Q, \Sigma, \{q_0\}, P)$

- ▶ $Q = \{q_0, q, q'\}$ and $\Sigma = \{\alpha, \gamma_1, \gamma_2, \sigma\}$
- ▶ P contains the productions:

$$\begin{array}{llll} \rho_0: q_0 \rightarrow \sigma(q, q', q) & \rho_2: q \rightarrow \gamma_1(q) & \rho_4: q \rightarrow \gamma_2(q) & \rho_6: q \rightarrow \alpha \\ \rho_1: q_0 \rightarrow \sigma(q', \alpha, q') & \rho_3: q' \rightarrow \gamma_1(q') & \rho_5: q' \rightarrow \gamma_2(q') & \rho_7: q' \rightarrow \alpha \end{array}$$

SFSG — Syntax

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SFSG $G = (Q, \Sigma, \{q_0\}, P, R)$

$$R = \{(\rho_0, \rho_1), (\rho_2\rho_2, \varepsilon), (\rho_4\rho_4, \varepsilon), (\rho_6\rho_6, \varepsilon), (\rho_3, \rho_3\rho_3), (\rho_5, \rho_5\rho_5), (\rho_7, \rho_7\rho_7)\}$$

SFSG — Syntax

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G is no MBOT

SFSG — Syntax

$$q_0 \rightarrow \left(\begin{array}{c} \sigma \\ \swarrow \quad \downarrow \quad \searrow \\ q \quad q' \quad q \end{array}, \begin{array}{c} \sigma \\ \swarrow \quad \downarrow \quad \searrow \\ q' \quad \alpha \quad q' \end{array} \right)$$

$$q \rightarrow \left(\begin{array}{c} \gamma_1 \quad \gamma_1 \\ | \quad | \\ q \quad q \end{array}, \varepsilon \right) \quad q \rightarrow \left(\begin{array}{c} \gamma_2 \quad \gamma_2 \\ | \quad | \\ q \quad q \end{array}, \varepsilon \right) \quad q \rightarrow (\alpha \alpha, \varepsilon)$$

$$q' \rightarrow (\alpha, \alpha \alpha) \quad q' \rightarrow \left(\begin{array}{c} \gamma_1 \\ | \\ q' \end{array}, \begin{array}{c} \gamma_1 \quad \gamma_1 \\ | \quad | \\ q' \quad q' \end{array} \right) \quad q' \rightarrow \left(\begin{array}{c} \gamma_2 \\ | \\ q' \end{array}, \begin{array}{c} \gamma_2 \quad \gamma_2 \\ | \quad | \\ q' \quad q' \end{array} \right)$$

SFSG — Semantics

Definition

Pre-translation for SFSG $G = (Q, \Sigma, I, P, R)$ is triple $\langle \vec{t}, q, \vec{u} \rangle$

- ▶ $q \in Q$ *(governing) nonterminal*
- ▶ $\vec{t}, \vec{u} \in T_{\Sigma}^*$ *input and output tree sequences*

Definition

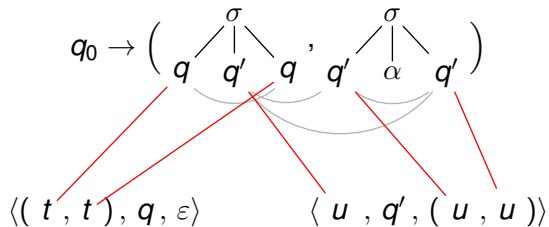
Pre-translations $PT(G)$ generated by G are smallest set T

$$\langle \vec{\ell}\theta, q, \vec{r}\theta' \rangle \in PT(G)$$

for all $\chi = q \rightarrow (\vec{\ell}, \vec{r}) \in R$, maps $\theta, \theta' : \text{var}(\chi) \rightarrow T_{\Sigma}^*$ and $q' \in \text{var}(\chi)$

- ▶ $|\theta(q')| = |\text{pos}_{q'}(\vec{\ell})|$ and $|\theta'(q')| = |\text{pos}_{q'}(\vec{r})|$
- ▶ $\langle \theta(q'), q', \theta'(q') \rangle \in T$

SFSG — Semantics



SFSG — Semantics

Definition

SFSG $G = (Q, \Sigma, l, P, R)$ computes the **tree translation** $\tau_G \subseteq T_\Sigma \times T_\Sigma$

$$\tau_G = \bigcup_{q \in l} \{(t, u) \mid \langle t, q, u \rangle \in \text{PT}(G)\}$$

SFSG — Semantics

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Definition

- ▶ SFSG = translations computable by SFSG
- ▶ MBOT = translations computable by MBOT

Outline

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Main model

Results

One-symbol normal form

Definition

MBOT (Q, Σ, I, P, R) is in **one-symbol normal form**

if $|\text{pos}_\Sigma(\ell)| \leq 1$ for every $q \rightarrow (\ell, \vec{r}) \in R$

One-symbol normal form

Definition

MBOT (Q, Σ, I, P, R) is in **one-symbol normal form**

if $|\text{pos}_\Sigma(\ell)| \leq 1$ for every $q \rightarrow (\ell, \vec{r}) \in R$

Lemma (ENGELFRIET et al., 2009)

Every MBOT can be transformed into one-symbol normal form

Simple properties

Theorem

1. $\text{SFSG} = \text{SFSG}^{-1}$
2. *Domain $\text{dom}(\tau)$ and range $\text{ran}(\tau)$ of $\tau \in \text{SFSG}$ are not necessarily regular*
3. $\text{MBOT} \subsetneq \text{SFSG}$

Decomposition

Theorem (RAOULT, 1997)

For every SFSG G there exist two (deterministic) MBOT G_1 and G_2

$$\tau_G = \tau_{G_1}^{-1} ; \tau_{G_2}$$

Decomposition

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For every SFSG G there exist two (deterministic) MBOT G_1 and G_2

$$\tau_G = \tau_{G_1}^{-1} ; \tau_{G_2}$$

Theorem (Bimorphism characterization)

$$\text{SFSG} = \text{d-MBOT}^{-1} ; \text{RTG} ; \text{d-MBOT}$$

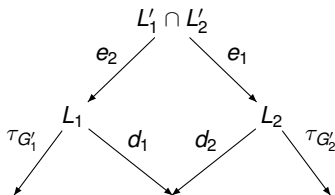
Composition

Theorem

$$\text{MBOT}^{-1} ; \text{MBOT} \subseteq \text{SFSG}$$

Proof.

- ▶ Decompose MBOT into bimorphisms $(d_1, L_1, \tau_{G'_1})$ and $(d_2, L_2, \tau_{G'_2})$
- ▶ Apply



- ▶ Use SFSG bimorphism characterization



Characterization

Corollary

$$\text{SFSG} = \text{MBOT}^{-1} ; \text{MBOT}$$

Immediate consequences

Theorem (RADMACHER, 2008)

SFSG is not closed under composition

Corollary

$\text{MBOT} ; \text{MBOT}^{-1} \notin \text{SFSG}$.

Immediate consequences

Theorem (RADMACHER, 2008)

SFSG *is not closed under composition*

Corollary

$\text{MBOT} ; \text{MBOT}^{-1} \not\subseteq \text{SFSG}$.

Proof.

$$\text{SFSG} ; \text{SFSG} \subseteq (\text{MBOT}^{-1} ; \text{MBOT}) ; (\text{MBOT}^{-1} ; \text{MBOT})$$



Immediate consequences

Theorem (RADMACHER, 2008)

SFSG is not closed under composition

Corollary

$\text{MBOT} ; \text{MBOT}^{-1} \not\subseteq \text{SFSG}$.

Proof.

$$\begin{aligned} \text{SFSG} ; \text{SFSG} &\subseteq (\text{MBOT}^{-1} ; \text{MBOT}) ; (\text{MBOT}^{-1} ; \text{MBOT}) \\ &\subseteq \text{MBOT}^{-1} ; \text{SFSG} ; \text{MBOT} \end{aligned}$$



Immediate consequences

Theorem (RADMACHER, 2008)

SFSG is not closed under composition

Corollary

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Proof.

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Immediate consequences

problem	string level	tree level
Parsing	$\mathcal{O}(G \cdot (w_1 \cdot w_2)^{2\text{rk}(G)+2})$	$\mathcal{O}(G \cdot t_1 \cdot t_2)$
Translation	$\mathcal{O}(G \cdot w_1 ^{2\text{rk}(G)+2})$	$\mathcal{O}(G \cdot t_1)$