# Hyper-minimization for deterministic weighted tree automata

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May 29, 2014

# Overview

#### Weighted Tree Language

- Assigns weight (e.g. a probability) to each tree
- Weight drawn from commutative semiring; e.g.  $(\mathbb{Q}, +, \cdot, 0, 1)$

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- Finitely represents weighted tree language
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### Application

- Re-ranker for parse trees
- Representation of parses

large models

Basics

# Semiring

Definition

A commutative semiring is an algebraic structure  $\mathcal{A} = (A, +, \cdot, 0, 1)$ 

- (A, +, 0) commutative monoid
- $(A, \cdot, 1)$  commutative monoid
- distributes over +

$$a \cdot (a_1 + a_2) = (a \cdot a_1) + (a \cdot a_2)$$

▶  $0 \cdot a = 0$  for all  $a \in A$ 

Examples:  $(\mathbb{N}, +, \cdot, 0, 1)$  and  $(\mathbb{Q}, +, \cdot, 0, 1)$ 

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Definition

A commutative semifield is a commutative semiring  $\mathcal{A} = (A, +, \cdot, 0, 1)$ 

▶ for all  $a \in A \setminus \{0\}$  there exists  $a^{-1} \in A$  with  $a \cdot a^{-1} = 1$ 

Example:  $(\mathbb{Q}, +, \cdot, 0, 1)$ 

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#### A. Maletti and D. Quernheim

# **Syntax**

Definition

#### Weighted tree automaton (WTA) is tuple $(Q, \Sigma, A, F, \mu)$ where

▶ finite set Q

 $\blacktriangleright F \subseteq Q$ 

- ranked alphabet  $\Sigma$
- commutative semiring  $\mathcal{A} = (A, +, \cdot, 0, 1)$

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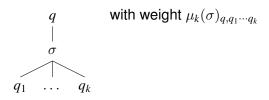
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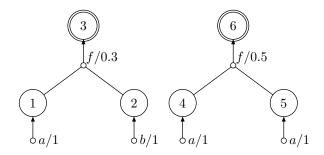
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# Sample Transition



Syntax — Illustration

#### Sample Automaton



#### Hyper-minimization for deterministic WTA

# Semantics

Definition Let  $t \in T_{\Sigma}(Q)$  and W = pos(t).

▶ Run on *t*: map  $r: W \to Q$  with r(w) = t(w) if  $t(w) \in Q$ 

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$$\operatorname{wt}(r) = \prod_{\substack{w \in W \\ t(w) \in \Sigma}} \mu_k(t(w))_{r(w), r(w1) \cdots r(wk)}$$

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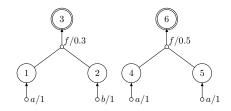
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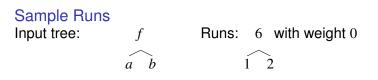
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Recognized weighted tree language

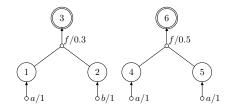
$$\|M\|(t) = \sum_{\substack{r \text{ run on } t\\r(\varepsilon) \in F}} \operatorname{wt}(r)$$

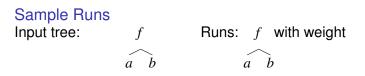
#### Sample Automaton





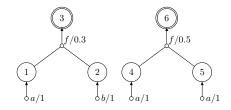
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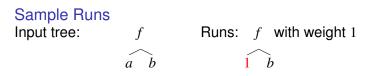




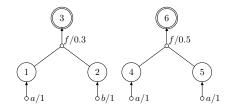
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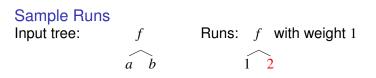
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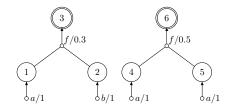


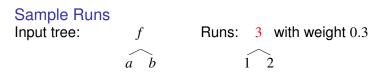
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[Borchardt, Vogler 2003]

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- Deterministic WTA does not use addition
- Determinization possible in locally-finite semirings
  - [Borchardt, Vogler 2003]
- Partial determinization for probabilities
- Systematic presentation

[May, Knight 2006]

[Büchse, Vogler 2009]

### Assumption

#### We assume a commutative semifield $\mathcal{A} = (A, +, \cdot, 0, 1)$

equivalent = same recognized weighted tree language

Problem

Given deterministic WTA, return

- equivalent deterministic WTA such that
- no equivalent deterministic WTA is smaller

equivalent = same recognized weighted tree language

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### Theorem (M., Q. 2011)

Minimization of deterministic WTA can be done in time  $O(m \log n)$ 

- m = size of automaton
- n = number of states

context = tree with exactly one occurrence of special symbol  $\Box$ c[t] = tree obtained from context c by replacing  $\Box$  by t

#### Definition

States *p* and *q* are equivalent if there exists  $a \in A \setminus \{0\}$  such that

$$||M||(c[p]) = a \cdot ||M||(c[q])$$

for all contexts  $c \in C_{\Sigma}$ 

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#### Theorem (Borchardt 2003)

Definition

Languages L and L' almost equal if L and L' have finite difference

#### Problem [Badr et al. 2009]

Given DFA, return

- DFA recognizing almost equal language such that
- no smaller DFA recogizes an almost equal language

 $(L \setminus L') \cup (L' \setminus L)$ 

Definition

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#### Problem [Badr et al. 2009]

Given DFA, return

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### Theorem (Holzer, M. 2009, Gawrychowsky, Jeż 2009) DFA hyper-minimization can be done in time $O(n \log n)$

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# Weighted hyper-minimization

$$\operatorname{supp}(\tau) = \{t \in T_{\Sigma} \mid \tau(t) \neq 0\} \text{ for } \tau \colon T_{\Sigma} \to A$$

#### Three variants

Two weighted tree languages  $\tau_1, \tau_2 \colon T_{\Sigma} \to A$  are almost equal if

•  $supp(\tau_1)$  and  $supp(\tau_2)$  are almost equal

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- preamble state q = finitely many q-runs

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Definition

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### Theorem

A minimal deterministic WTA is hyper-minimal

 no pair of different, but almost equivalent states of which one is a preamble state

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Algorithm

### Hyper-minimization algorithm

1.	Minimize	$\mathcal{O}(m\log n)$
2.	Compute preamble states	$\mathcal{O}(m)$
3.	Compute co-preamble states	$\mathcal{O}(m)$
4.	Identify almost equivalent states	$\mathcal{O}(m\log n)$
5.	Merge preamble states that are almost equivalent to another state	
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## Identification of almost equivalent states

Definition Transition context *c* is of the shape  $\sigma(t_1, \ldots, t_k)$  with

- $t_1,\ldots,t_k\in Q\cup\{\Box\}$
- ► exactly one □ occurs

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### Assumptions

total order on transition contexts

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### Assumptions

- total order on transition contexts
- c<sub>q</sub> smallest transition context such that c<sub>q</sub>[q] evaluates to a co-kernel (i.e., not a co-preamble) state for each q ∈ Q

### Definition Signature of *q*: $\{\langle c, q', a' \rangle | \cdots \}$

- c = transition context
- ▶ q' = evaluation of c[q]
- ► a' = transition weight of c[q]

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#### Definition

Standardized signature of q: { $\langle c, q', a' \rangle \mid q'$  co-kernel state,  $\cdots$  }

- c = transition context
- ▶ q' = evaluation of c[q]
- ► a' = transition weight of c[q] "divided by" transition weight of  $c_q[q]$

#### Lemma

If two states have the same signature, then they are almost equivalent

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#### Lemma If two different states are almost equivalent, then there exist two different states that have the same signature

Finding almost equivalent states

### Approach

- 1. Find two different states of equal signature
- 2. Merge them

using a scaling factor

3. Go to 1.

This will merge more states than desired, but identifies almost equivalent states

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### Hyper-minimization algorithm

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## Hyper-minimization algorithm

Theorem We can hyper-minimize deterministic WTA in time  $O(m \log n)$ 

## Summary

### Solved

- hyper-minimization for deterministic WTA over semifields
- almost equality = finitely many trees with different weight

### Open

- Error optimization
- Stronger "almost equality"
- Avoiding requirements (semifield; commutativity; determinism; etc.)

#### Thank you!

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